

## Electronic structure and optical properties of $\text{ZnSiO}_3$ and $\text{Zn}_2\text{SiO}_4$

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The electronic structure and optical properties of orthorhombic, monoclinic, and rhombohedral (corundum type) modifications of  $\text{ZnSiO}_3$ , and of rhombohedral, tetragonal, and cubic (spinel type) modifications of  $\text{Zn}_2\text{SiO}_4$  have been studied using *ab initio* density functional theory calculations. The calculated fundamental band gaps for the different polymorphs and compounds are in the range 2.22 - 4.18 eV. The lowest conduction band is well dispersive similar to that found for transparent conducting oxides such as ZnO. This band is mainly contributed by Zn *4s* electrons. The carrier effective masses were calculated and compared with those for ZnO. The topmost valence band is much less dispersive and contributed by O *2p* and Zn *3d* electrons. From the analysis of charge-density, charges residing in each sites, and electron localization function it is found that ionic bonding is mainly ruling in these compounds. The calculated optical dielectric tensors show that the optical properties of  $\text{ZnSiO}_3$  and  $\text{Zn}_2\text{SiO}_4$  are almost isotropic in the visible part of the solar spectra and depend negligibly on the crystal structure. Within the 0-4 eV photon energy range the calculated magnitude of the absorption coefficient, reflectivity, refractive index, and extinction coefficient are smaller than  $10^3 \text{ cm}^{-1}$ , 0.15, 2.2, and 0.3, respectively for all

the  $\text{ZnSiO}_3$  and  $\text{Zn}_2\text{SiO}_4$  phases considered in this work. This suggests that zinc silicates can be used as antireflection coatings.

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## 1. Introduction

ZnSiO<sub>3</sub> shows useful properties such as excellent adhesion to steel surfaces, high heat resistance, high hardness, abrasion resistance, inertness to crude and refined oils, greases, and solvents, resistance to radiation (including nuclear radiation), weather resistance etc. These silicates are predominantly used for buildings, offshore constructions, and bridges. They can be used as abrasion-resistant coating with controlled electrical conductivity. ZnSiO<sub>3</sub> is very inert and, with exception of exposure to strong acids and alkalides, do not degrade in most industrial and marine environments.<sup>1</sup> Nanodispersed ZnSiO<sub>3</sub> co-deposited on nickel-phosphorous alloys are corrosion<sup>2</sup> as well as high-temperature oxidation resistant. Recently, a new crystalline phase of ZnSiO<sub>3</sub> is reported<sup>3</sup> formed upon irradiation of nanocomposite ZnO-SiO<sub>2</sub> films with ultraviolet light. This crystalline phase is formed within the amorphous media by a photo-induced reaction. The monoclinic ZnSiO<sub>3</sub> nanocrystals have been formed as a result of rapid thermal annealing of SiO<sub>2</sub> with metallic Zn nanocrystals.<sup>4</sup> Also by the transmission electron microscopy (TEM) ZnSiO<sub>3</sub> nanoparticles have been found in between the ZnO thin film and the Si substrate.<sup>5</sup> Moreover, from x-ray diffraction, TEM, and selected-area electron diffraction studies orthorhombic ZnSiO<sub>3</sub> is found at the interface of the ZnO/Si heterostructure after annealing at 900 °C resulting from the inter-diffusion between ZnO and Si.<sup>6</sup>

Zn<sub>2</sub>SiO<sub>4</sub> is known under the mineralogical name willemite and belongs to the family of orthosilicates.<sup>3</sup> It has numerous technological applications such as phosphor host, crystalline phase in glass ceramics, electrical insulators, glazes, and pigments (see, e.g., Ref.<sup>7</sup>). Because of its unique luminescent properties, wide energy band gap (5.5 eV), and excellent chemical stability, Zn<sub>2</sub>SiO<sub>4</sub> is candidate for flat panel displays,<sup>8-13</sup> X-ray or nuclear medical image receptors, X-ray to light

converters coupled to optical detectors,<sup>14</sup> etc.  $\text{Zn}_2\text{SiO}_4$  forms readily at the interface of ZnO/Si heterostructures.<sup>15-17</sup> Such ZnO deposited on silicate glass is used as a transparent conducting oxide (TCO) buffer in photovoltaic solar cells.<sup>18</sup>  $\text{Zn}_2\text{SiO}_4$  has a large band offset [Fig. 1],<sup>17</sup> which can be one of the reasons for low 8.5 % efficiency of crystalline Si-ZnO solar cells.<sup>18</sup> Using secondary ion mass spectroscopy a vast inter-diffusion was confirmed at the interface between ZnO film and Si substrate.<sup>15</sup> By means of cathodoluminescence and glancing-angle X-ray diffraction the tetragonal modification of  $\text{Zn}_2\text{SiO}_4$  was proved to be present. Moreover, XRD measurements have shown the existence of an earlier unknown rhombohedral modification of  $\text{Zn}_2\text{SiO}_4$  at the boundary between ZnO particles and  $\text{SiO}_2$  matrix.<sup>19</sup>

Some information on electronic structure studies of  $\text{ZnSiO}_3$ ,  $\text{Zn}_2\text{SiO}_4$  and similar compounds are already available. For example,  $\text{Zn}_2\text{SiO}_4$  has been studied by the self-consistent-field multiple-scattering  $X\alpha$  cluster method,<sup>8, 20</sup> and hexagonal and tetragonal phases of  $\text{Zn}_2\text{SiO}_4$ <sup>21</sup> by the Vienna *ab initio* simulation package (VASP).<sup>22</sup> Fayalites  $M_2\text{SiO}_4$  ( $M = \text{Fe}$  and  $\text{Co}$ ) have been investigated<sup>23</sup> by the generalized-gradient approximation (GGA) with the multiorbital mean-field Hubbard potential ( $U$ ). The related compounds  $\text{Zn}_2\text{SnO}_4$ ,  $\text{Cd}_2\text{SnO}_4$ , and  $\text{In}_2\text{CdO}_4$  have been examined<sup>24</sup> by VASP. Possibility of phase transitions between structural polymorphs of  $\text{ZnSiO}_3$  and  $\text{Zn}_2\text{SiO}_4$  have been reported<sup>25</sup> by DFT calculations using the VASP package. However, to our knowledge, there is no systematic study on the electronic structure and optical properties of the different polymorphs of  $\text{ZnSiO}_3$  and  $\text{Zn}_2\text{SiO}_4$ .

Knowledge on the electronic structure and optical property for zinc silicates are of interest for semiconductor electronics. Since the electronic structure of zinc silicates are quite different from those of ZnO, Si, and  $\text{SiO}_2$ , any formation of zinc silicates at the interfaces can strongly influence optical and electrical properties of ZnO-Si and ZnO- $\text{SiO}_2$  based optoelectronic devices.

The above mentioned experimental results about existence of different polymorphs of the zinc silicates, possibility of their formation at the interface between ZnO-Si and ZnO-SiO<sub>2</sub> creates the necessity to study dependence of the electronic structure, electrical and optical properties of the silicates on crystal structure and crystallographic directions. The other interesting point is dopability of the silicates by shallow level impurities and H, which would allow one to classify the silicates as semiconductors or insulators. Another interesting point to be explored is the suggestion regarding usage of Zn<sub>2</sub>SiO<sub>4</sub> and ZnSiO<sub>3</sub> as passivation layers and studies of band alignment between the zinc silicates with other semiconductors. We hope that these points will motivate studies on these materials in the future. The aim of the present work is to study the electronic structure and optical properties of various polymorphs such as monoclinic (*m*), orthorhombic (*o*), and rhombohedral (*r*) ZnSiO<sub>3</sub> as well as tetragonal (*t*), cubic (*c*), and *r*-Zn<sub>2</sub>SiO<sub>4</sub>.

## 2. Computational details

Information on the crystal structures of the different crystallographic modifications of ZnSiO<sub>3</sub> and Zn<sub>2</sub>SiO<sub>4</sub> and corresponding positional and lattice parameters used in the present calculations are reported elsewhere (Refs.<sup>25</sup>). For the studies of electronic structures and optical spectra, the VASP package<sup>22</sup> was used. The exchange and correlation energy were described by the Perdew-Zunger parametrization<sup>26</sup> of the quantum Monte Carlo results of Ceperley-Alder.<sup>27</sup> The interaction between electrons and atomic cores was described by means of non-norm-conserving pseudopotentials generated in accordance with the projector-augmented wave method.<sup>28-29</sup> Orthonormalized all-electron-like wave functions have been constructed for the Zn-3*d* and -4*s*, Si-3*s* and -3*p*, as well as O-2*s* and -2*p* valence electrons. Spin-orbit coupling has not been included in the present calculations.

The diagonal elements of the effective mass tensor for the conduction band (CB) electrons are calculated by:

$$\frac{1}{m_c(k)} = \frac{1}{\hbar^2} \left. \frac{\partial^2 E(k)}{\partial^2 k} \right|_{k=k_0} \quad (1)$$

in different directions in  $k$  space from the  $\Gamma$  point towards the other high-symmetry points in the Brillouin zone. We use this to give an indication for the carrier mobility, since the CB minimum of the band dispersions of all the compounds considered are located at the  $\Gamma$  point of the Brillouin zone. The band edge energies  $E(k)$  have been extracted from DFT calculations and 9th order polynomial fitting has been performed. From the fitted polynomial the second order derivative was calculated, which was used in the effective mass calculations in Eq. (1).

The imaginary part of the optical dielectric function  $\varepsilon_2(\omega)$  has been derived from DFT results by summing all allowed direct transitions from occupied to unoccupied states. From that the real part of the dielectric function  $\varepsilon_1(\omega)$  is calculated using the Kramer-Kronig transformation. The knowledge of both the real and imaginary parts of the dielectric tensor allows one to calculate other important linear optical properties. In this paper we present and analyze the reflectivity  $R(\omega)$ , the absorption coefficient  $\alpha(\omega)$ , the refractive index  $n(\omega)$ , and the extinction coefficient  $k(\omega)$ . More details about the optical calculations are discussed in Ref.<sup>30-31</sup>.

### 3. Results and discussion

#### 3.1 Band structure

The electronic band structures are studied for the optimized crystal structures of various polymorphs of  $\text{ZnSiO}_3$  and  $\text{Zn}_2\text{SiO}_4$  (Fig. 2). The corresponding band gaps ( $E_g$ ) were determined for both of the compounds (Table I). In the present computations no band gap correction schemes

have been applied. Hence the calculated fundamental band gaps are underestimated because of the well-known deficiency of DFT. The experimental  $E_g$  value is available only for  $Zn_2SiO_4-r$  and is in the range from 5.50 to 6.26 eV. The band gap of 4.36 eV estimated from the band diagram for Si-ZnO heterojunction on the basis of XPS studies,<sup>17</sup> corresponds, probably, to  $Zn_2SiO_4-t$ . The experimental band gap value for  $ZnSiO_3$  and other polymorphs of  $Zn_2SiO_4$  are not yet available. The band gaps calculated by DFT within LDA are likely to be about ~30-50% smaller than actual experimental values. The real band gaps for these zinc silicates are expected to be ~5.0 eV. These are hence classified as wide band gap solids.

In order to understand whether the considered compounds remain transparent in the visible spectra even after heavy doping by shallow donors, the difference in the energies between the CB minimum and the second nearest CB  $E_g^s$  has been calculated (Table I) known also as the second band gap.<sup>24</sup> The analysis shows that the value of  $E_g^s$  for  $ZnSiO_3-r$  is ~2.8 eV. Consequently, if  $ZnSiO_3-r$  can possess *n*-type metallic-like electrical conductivity, it can be considered as TCO. For the other  $ZnSiO_3$  and  $Zn_2SiO_4$  polymorphs the calculated  $E_g^s$  values are smaller than 2.5 eV. The value of  $E_g^s$  depends on the crystal structure and the particular chemical composition.

The bands around the VB maximum are very close for the various  $ZnSiO_3$  and  $Zn_2SiO_4$  polymorphs and consequently, it is hard for the eye to analyze the dispersion as well as the character of the topmost VB. In Fig. 2 the band structures are therefore plotted in vicinity of the fundamental band gap. This allows us to classify these semiconductors as either direct or indirect band gap materials. In Fig. 2 the dispersion of the band around the VB maximum is clearly seen for  $ZnSiO_3-r$ ,  $Zn_2SiO_4-t$  and  $-c$ . For the other  $ZnSiO_3$  and  $Zn_2SiO_4$  polymorphs the plotting of VB is done for a very small energy range i.e. -0.4 - 0.0 eV. For all the considered polymorphs of  $ZnSiO_3$

and  $\text{Zn}_2\text{SiO}_4$  the CB minimum is located at the  $\Gamma$  point (see Fig. 2). The VB maximum for  $\text{ZnSiO}_3$ -*o*, and  $\text{Zn}_2\text{SiO}_4$ -*r*, -*t*, and -*c* are located at the  $\Gamma$  point and hence they can be classified as direct band gap semiconductors, see Table I. However, the VB maximum for both  $\text{ZnSiO}_3$ -*m* and  $\text{Zn}_2\text{SiO}_4$ -*r* is located aside the  $\Gamma$  point. So, these two polymorphs have an indirect band gap.

The ability to easily conduct electricity is one key feature of transparent conducting oxides. Qualitative information about this feature can be derived from analysis of the band dispersion at the band extremes. Analysis of Fig. 2 shows that the bottommost CB for all polymorphs of  $\text{ZnSiO}_3$  and  $\text{Zn}_2\text{SiO}_4$  are well dispersive. Consequently, one can expect that the electrical conductivity by CB electrons is favorable in these materials and this can be achieved by electron doping. Compared with the bottommost CB, the topmost VB for  $\text{ZnSiO}_3$  and  $\text{Zn}_2\text{SiO}_4$  phases are almost dispersionless in the whole Brillouin zone. So, electrical conductivity by holes can not be as good as that by CB electrons. This behavior also indicates that the outermost valence electrons are tightly bonded to their host atoms. As discussed in Sec. 3.2 strong contribution to the topmost VB is coming from O and Zn atoms whereas that from Si is negligible. Consequently, one can suggest that the outermost VB electrons are tightly bonded to O and the Zn-O bond has a distinct ionic component. The dispersive nature of the topmost VB is largest for the  $\text{ZnSiO}_3$ -*r*,  $\text{Zn}_2\text{SiO}_4$ -*t*, and -*c* polymorphs. This indicates that different hybridization schemes play an important role for the carrier mobility.

### 3.2 Density of states

The bands structure in the vicinity of CB and VB edges are analyzed above. Knowledge on the distribution of all valence electrons is obtained from the DOS. Such analyses are performed for the zinc silicate polymorphs in Fig. 3. It is seen that the VB consists of two major regions. The lower VB1 region in the energy range from -20 to -17 eV is relatively narrow and splits into sharp

sub-bands. The VB2 region above VB1 covers the range from -9.5 to VB maximum and has broad features. In order to gain more insight into the VBs and CBs as well as into the chemical bonding, the orbital and site projected DOS (PDOS) were analyzed. Figure 4 shows the PDOS for  $\text{ZnSiO}_3$ -*m* and  $\text{Zn}_2\text{SiO}_4$ -*r*. It is seen that VB1 consists of mainly O 2s with smaller contributions from hybridized Si 3s and 3p electrons. The VB2 consists of three parts: The lowest energy region is basically contributed from Si 3s electrons. The intermediate energy region consists of very sharp peaks located around -5 eV that originates from Zn 3d electrons. The topmost part is hybridized Zn 3d and the O 2p states, while contributions from Si 3p states are negligible. This indicates that the topmost VB can drastically be modified by controlling the Zn and/or O stoichiometry.

The CB edge is well dispersed and consists basically of Zn 4s electrons, with smaller contribution from both O 2s and Si 3s. Hence, the Zn 4s electrons at the CB minimum play an important role in the electrical conductivity for all these zinc silicate polymorphs. Since the contribution from the Si-3s and O-2s electrons to the CB edge is smaller than that from Zn-4s electrons, one can say that they play almost no role in the effective mass of the CB electrons.

The large band gap means that the band offset between the zinc silicate and Si or ZnO will be large. The offset between CBs and VBs of *n*-ZnO and *p*<sup>+</sup>-Si is 0.30 eV<sup>32</sup> and 2.55 eV,<sup>33</sup> respectively. Since the band gap of  $\text{ZnSiO}_3$  and  $\text{Zn}_2\text{SiO}_4$  polymorphs can be larger than that of ZnO, one can expect that the offset between CB(VB)s of the silicates and ZnO(Si) will be different from the above mentioned values. This will certainly affect the performance of device structures.

### 3.3 Conduction band effective masses

As noted above, cf. Fig. 2, the states at the CB minimum are much more dispersive than the topmost VB states. Consequently, CB electrons are lighter than holes and hence the influence of the

latter on the electrical conductivity will be minimal. For quantitative characterization of carrier mobility the calculated effective masses around the bottommost CB extremes are given in Table II. Analysis shows that the effective masses for electrons in  $\text{ZnSiO}_3$  and  $\text{Zn}_2\text{SiO}_4$  polymorphs are almost isotropic. Hence, the CB electron mobility and electrical conductivity of the compounds are expected to be isotropic as well. At present there are no experimental data available.

The CB electron masses for  $\text{ZnSiO}_3$ -*o*, -*m* and  $\text{Zn}_2\text{SiO}_4$ -*t* are of the same magnitude, whereas those for  $\text{Zn}_2\text{SiO}_4$ -*r* are about four times larger. Hence, the electrical conductivity for  $\text{Zn}_2\text{SiO}_4$ -*r* is expected to be smaller than that for other modifications of  $\text{ZnSiO}_3$  and  $\text{Zn}_2\text{SiO}_4$ . The calculated CB electron effective mass of around  $1.24m_0$  for  $\text{Zn}_2\text{SiO}_4$ -*r* is, however, much larger than that for well known TCOs, for example,  $0.23m_0$ <sup>34</sup> and  $0.24m_0$ <sup>35</sup> for ZnO,  $\sim 0.23m_0$  for  $\text{Zn}_2\text{SnO}_4$ ,  $\sim 0.18m_0$  for  $\text{Cd}_2\text{SnO}_4$ , and  $\sim 0.17m_0$  for  $\text{CdIn}_2\text{O}_4$ .<sup>24</sup> Consequently, the mobility of CB electrons as well the electrical conductivity in  $\text{Zn}_2\text{SiO}_4$ -*r* is expected to be small.

### 3.4 Chemical bonding and charge density analysis

A more detailed understanding of the bonding behavior can be obtained from charge-density and electron localization function (ELF) analysis.<sup>36-38</sup> Figure 5(a) presents the charge density distribution for Zn-O and Si-O bonds of  $\text{Zn}_2\text{SiO}_4$ -*r*. The compounds  $\text{Zn}_2\text{SiO}_4$ -*t*,  $\text{ZnSiO}_3$ -*o*,  $\text{ZnSiO}_3$ -*m*, and  $\text{ZnSiO}_3$ -*r* exhibit similar features to that of  $\text{Zn}_2\text{SiO}_4$ -*r*. It is found that the highest charge density is residing at Zn and O atoms. A large amount of localized electrons are present around Zn. These are semicore Zn 3*d* electrons considered as valence electrons in the computation. The charge around Si is smaller than that around Zn. On the one hand this is because Si does not have *d* electrons and on the other hand, as demonstrated by analysis of the Bader charge in the following section, Si donates to O more charge than Zn. This result is consistent with analysis of

PDOS [Fig. 4], which shows that population of the Si site by electrons is smaller than that of the Zn. This result is reasonable also, because the spectroscopic electronegativity of Zn and Si are 1.65 and 1.90,<sup>39</sup> respectively. Since electronegativity of O is 3.44, ionic interaction between Zn and O as well as between Si and O is more enhanced than covalent bonding.

According to PDOS [Fig. 4] the bonding mechanism in the zinc silicates is the hybridization between Zn 3*d*, Si 3*p*, and O 2*p* states. The Si-O bonds can be characterized as having both ionic and covalent characters. Covalency of the bonds is because the O 2*p* states are noticeably hybridized with Si 3*p* states in large energy range in close vicinity of the CB and VB edges. The ionicity is because the main peaks of O 2*p*, Zn 4*s*, and Si 3*p* states are located in different energy range. Contribution of the O 2*p* states is predominant in the energy range -1-0 eV, whereas the Zn 4*s* and Si 3*p* states are dominant in the bands located in close vicinity of the CB edge.

The ELF is an useful tool to characterize the chemical bonding<sup>40-42</sup> as it measures the probability distribution of paired electrons. Figure 5(b) presents the calculated ELF plot. Analysis shows that the ELF value is small around Zn and Si. The ELF distribution around O is larger and this shows that the electrons are transferred from Zn and Si to the O sites i.e. strong ionic character. The ELF contours are not spherically shaped indicating the presence of noticeable directional bonding.

The smallest and largest distance between Zn and O atoms in the zinc silicates in Fig. 5 is 1.972 Å and 1.952 Å, respectively. Those between Si and O are equal to 1.637 Å and 1.611 Å. This result indicates that the strongest bonding in this solid is between Si and O, which might possess covalent character as well. It follows from analysis of Fig. 4 that in the energy range -4-0 eV where the O 2*p* have the strong weight, there are also Si 3*s* and 3*p* as well as Zn 4*p*-like electrons, 3*d*, and 4*s* electrons. As discussed in previous studies,<sup>30</sup> this result indicates to the

existence of covalence in the chemical bonding between Si and O as well as between Zn and O. Furthermore, the non-spherical charges around the Zn, Si, and O atoms are the indications of the covalent character of the chemical bonding. covalence.

For quantitative analysis of charge transfer several schemes are available for partitioning the space between atoms. In this work we use Bader and Voronoi charge analysis.<sup>36-38</sup> According to the Bader topological analysis each atom is surrounded by a surface that run through minima of the charge density. These regions are known as Bader regions. This partitioning estimates the total charge around each atom by integrating the electron density within the Bader region. In the Voronoi charge analysis each grid point of charge density is assigned to the nearest atom, but these distances are not scaled by the radius of the involved atom. The reported Voronoi charges are presently just used for checking of consistency.

The results for some zinc silicates are presented in Table III. As expected, Zn and Si atoms donate electrons, while O atoms are acceptors. The amount of the donated charge indicates ionic type of chemical bonding between Zn and O as well as polar covalent bonding between Si and O. Analysis shows that the amount of charge donated by Zn to O in the zinc silicates is larger than that in ZnO.

### 3.5 Optical properties

Figure 6 presents the optical dielectric response function for  $\text{ZnSiO}_3$  and  $\text{Zn}_2\text{SiO}_4$ . In order to describe the optical anisotropy, three diagonal components of the dielectric function are calculated for  $\text{ZnSiO}_3$ -*o*, six for  $\text{ZnSiO}_3$ -*m*, and two for  $\text{Zn}_2\text{SiO}_4$ -*t* and  $\text{Zn}_2\text{SiO}_4$ -*r*. Among the six components for  $\text{ZnSiO}_3$ -*m*, the off-diagonal components are found to be negligible and hence the

analysis is made for just the three diagonal components corresponding to the electric field  $\mathbf{E}$  parallel to the crystallographic  $\mathbf{a}$ ,  $\mathbf{b}$  and  $\mathbf{c}$  axes. Cubic  $\text{Zn}_2\text{SiO}_4$ -*c* has isotropic optical properties.

Figure 6 presents the imaginary part of the optical dielectric function as a function of energy [ $\varepsilon_2(\omega)$ ] with electric field along different optical directions. The variation in  $\varepsilon_2(\omega)$  for different crystallographic directions is very small. Consequently, the optical spectra are more or less isotropic. Figure 7 displays the absorption coefficient  $\alpha(\omega)$ , reflectivity  $R(\omega)$ , refractive index  $n(\omega)$ , and extinction coefficient  $k(\omega)$  for polymorphs of  $\text{ZnSiO}_3$  and  $\text{Zn}_2\text{SiO}_4$ . The analyses of Figs. 6 and 7 show that the optical spectra are quite independent of crystallographic direction and lattice type. Consequently, amorphous  $\text{ZnSiO}_3$  and  $\text{Zn}_2\text{SiO}_4$  might possess similar optical properties as their crystalline counterparts at energies larger than the fundamental band gap.

Analysis of Fig. 7 shows that in the energy range 0 to 4 eV, the calculated absorption coefficient  $\alpha(\omega)$ , reflectivity  $R(\omega)$ , refractive index  $n(\omega)$ , and extinction coefficient  $k(\omega)$  for zinc silicates are considerable smaller than those determined experimentally for ZnO. It is well known that DFT underestimates the band gaps. Consequently, the calculated optical spectra will be shifted toward lower energies relative to the experimental spectra. To fix error, sometimes the optical spectra are rigidly shifted toward larger energies up to the experimentally determined location. Since no experimental data is available in the present work the rigid shift technique has not been applied. Hence, one can expect that  $\alpha(\omega)$ ,  $R(\omega)$ ,  $n(\omega)$ , and  $k(\omega)$  of the  $\text{ZnSiO}_3$  and  $\text{Zn}_2\text{SiO}_4$  polymorphs will be smaller than those of ZnO in wider energy range than 0-4 eV [Fig.7]. Currently, the theoretical results indicate the possibility of using these silicates as antireflection coatings. Studies of defects, electrical current transport properties of the zinc silicates and their band alignment with other semiconductors will be subject of future studies. We hope that our theoretical results will motivate experimental studies on these materials.

## 4 Conclusions

The presents *ab initio* studies of electronic structure and optical properties of  $\text{ZnSiO}_3$  and  $\text{Zn}_2\text{SiO}_4$  polymorphs show that these compounds are wide band gap solids with direct or indirect band gap depending upon type polymorph. The bottommost CB is dispersive and is contributed mainly by Zn  $4s$  electrons. The topmost VB is almost dispersionless and is contributed by O  $2p$  and Zn  $3d$  electrons. From considerations of charge-density, Bader and Voronoi charge calculations, and ELF it is shown that these compounds posses significant ionic contributions to the bonding. The optical properties of all compounds are close to isotropic and for these the particular structural modification appears to have less importance. Based on the magnitude of the absorption coefficient ( $<10^3 \text{ cm}^{-1}$ ), reflectivity ( $<0.15$ ), refractive index ( $<2.20$ ), and extinction coefficient ( $<0.30$ ), all these  $\text{ZnSiO}_3$  and  $\text{Zn}_2\text{SiO}_4$  polymorphs can be used as antireflection coatings in optoelectronic devices.

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TABLE I: Calculated fundamental band gap  $E_g$ , second gap  $E_g^s$ , and experimental gap  $E_g^{Expt}$  (in eV) for polymorphs of  $ZnSiO_3$  and  $Zn_2SiO_4$ .

Compound	$E_g$		$E_g^s$	$E_g^{Expt}$
$ZnSiO_3-o$	3.50	Direct	0.86	
$ZnSiO_3-m$	3.68	Indirect	1.45	
$ZnSiO_3-r$	4.18	Indirect	2.80	
$Zn_2SiO_4-t$	2.22	Direct	2.45	
$Zn_2SiO_4-r$	2.83	Direct	1.44	5.50 <sup>a</sup> 5.30 <sup>b</sup> 6.15 <sup>c</sup> 6.26 <sup>d</sup>
$Zn_2SiO_4-c$	2.78	Direct	2.11	4.36 <sup>e</sup>

<sup>a</sup>)Sol-gel derived zinc silicate phosphor films used for full-color display applications.<sup>10</sup>

<sup>b</sup>)ZnO nanoparticles formed in  $SiO_2$  by ion implantation combined with thermal oxidation.<sup>43</sup>

<sup>c</sup>)ZnO particles embedded into  $SiO_2$  by reactive magnetron sputtering.<sup>19</sup>

<sup>d</sup>)ZnO particles embedded into  $SiO_2$  by reactive magnetron sputtering.<sup>19</sup>

<sup>e</sup>) $Zn_2SiO_4$  formed at the Si-ZnO interface.<sup>17</sup>

Table II. Effective masses of CB electrons (in units of free-electron mass  $m_0$ ) for  $\text{ZnSiO}_3$  and  $\text{Zn}_2\text{SiO}_4$  polymorphs along with those for  $\text{ZnO}$ .

$\text{ZnSiO}_3\text{-}o$	$\text{ZnSiO}_3\text{-}m$	$\text{Zn}_2\text{SiO}_4\text{-}r$	$\text{Zn}_2\text{SiO}_4\text{-}t$	$\text{ZnO}$
0.372 ( $\Gamma \rightarrow X$ )	0.335 ( $\Gamma \rightarrow A$ )	1.226 ( $\Gamma \rightarrow F$ )	0.316 ( $\Gamma \rightarrow N$ )	0.14 <sup>a</sup> , 0.23 <sup>b</sup> , 0.24 <sup>c</sup> ( $\Gamma \rightarrow A$ )
0.353 ( $\Gamma \rightarrow W$ )	0.430 ( $\Gamma \rightarrow Z$ )	1.240 ( $\Gamma \rightarrow L$ )	0.378 ( $\Gamma \rightarrow X$ )	0.14 <sup>a</sup> , 0.21 <sup>b</sup> ( $\Gamma \rightarrow M$ )
0.390 ( $\Gamma \rightarrow Y$ )		1.240 ( $\Gamma \rightarrow Z$ )	0.470 ( $\Gamma \rightarrow Z$ )	

a) Calculated by VASP<sup>44</sup>;

b) Calculated from full-potential linear muffin-tin orbital method<sup>34</sup>;

c) Experimental value from Ref. <sup>35</sup>.

Table III. Atomic effective charges (in units of electron charge  $e^-$ ) within the atomic basins of Zn, Si, and O calculated according to Bader's topological analysis (denoted by Bader) and Voronoi deformation density (denoted by Voronoi) in  $\text{ZnSiO}_3\text{-}m$ ,  $\text{Zn}_2\text{SiO}_4\text{-}r$ ,  $\text{Zn}_2\text{SiO}_4\text{-}t$ , and ZnO.

Compound	Atom	Voronoi	Bader
$\text{ZnSiO}_3\text{-}m$	Zn	0.91	0.65
	Si	1.41	2.00
	O1	-0.69	-0.81
	O2	-0.71	-0.78
	O	-0.78	-1.06
$\text{Zn}_2\text{SiO}_4\text{-}r$	Zn1	0.38	0.65
	Zn2	0.88	0.68
	Si	1.52	1.89
	O1	-0.87	-0.85
	O2	-0.88	-0.87
	O3	-0.81	-0.76
	O4	-0.49	-0.74
$\text{Zn}_2\text{SiO}_4\text{-}t$	Zn1	0.78	0.60
	Zn2	0.85	0.61
	Si	1.45	1.96
	O1	-0.91	-0.82
	O2	-0.46	-0.72
	O3	-0.77	-0.79
	O4	-0.90	-0.84
ZnO	Zn	0.26	0.55
	O	-0.70	-0.55

### Figure captions

- Fig. 1. Band diagram for the Si-ZnO heterojunction with interface layer of zinc silicates located in between Si and ZnO.
- Fig. 2. Band structure for polymorphs of  $\text{ZnSiO}_3$  and  $\text{Zn}_2\text{SiO}_4$  in the high symmetry directions of the Brillouin zone. The Fermi level is set to zero.
- Fig. 3. Total DOS for  $\text{ZnSiO}_3$  and  $\text{Zn}_2\text{SiO}_4$  polymorphs. The Fermi level is set to zero.
- Fig. 4. [Color online] The orbital and site-projected DOS for monoclinic  $\text{ZnSiO}_{3-m}$ , and  $\text{Zn}_2\text{SiO}_{4-r}$ . The Fermi level is set to zero.
- Fig. 5. [Color online] (a) Charge density and (c) ELF for  $\text{Zn}_2\text{SiO}_{4-r}$  corresponding to the atomic plane schematically presented in (b).
- Fig. 6. [Color online] Dielectric response functions for polymorphs of  $\text{ZnSiO}_3$  and  $\text{Zn}_2\text{SiO}_4$  for the different directions of the electric field  $\mathbf{E}$  compared to the **a**, **b**, and **c** axis.
- Fig. 7. The calculated optical spectra for  $\text{ZnSiO}_3$  and  $\text{Zn}_2\text{SiO}_4$  polymorphs as compared to those determined experimentally for ZnO (Ref. <sup>45</sup>).