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4 **Numerical Simulation of Multiphase Magnetohydrodynamic**
5 **Flow and Deformation of Electrolyte-Metal Interface in**
6 **Aluminium Electrolysis Cell**
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Numerical Simulation of Multiphase Magnetohydrodynamic Flow and Deformation of Electrolyte-Metal Interface in Aluminium Electrolysis Cell

Abstract

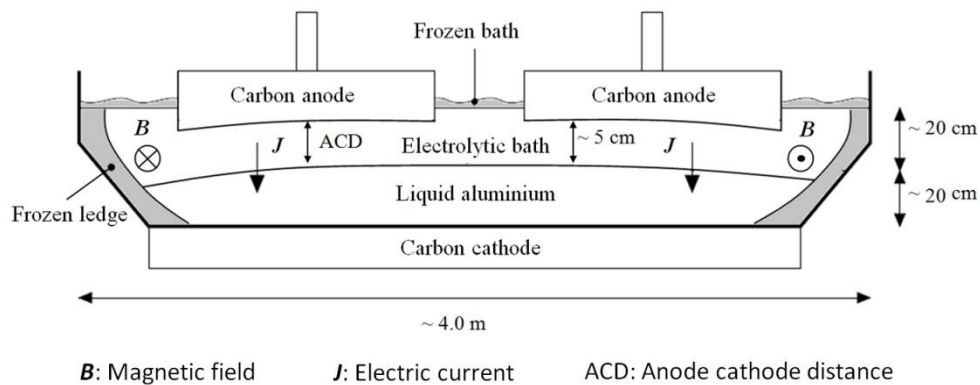
A computational fluid dynamics (CFD) based multiphase magnetohydrodynamic (MHD) flow model for simulating the melt flow and bath-metal interface deformation in realistic aluminium reduction cells is presented. The model accounts for the complex physics of the magnetohydrodynamic problem in aluminium reduction cells by coupling two immiscible fluids, electromagnetic field, Lorenz force, flow turbulence and complex cell geometry with large length-scale. Especially, the deformation of bath-metal interface is tracked directly in the simulation, and the condition of constant anode cathode distance (ACD) is maintained by moving anode bottom dynamically with the deforming bath-metal interface. The metal pad deformation and melt flow predicted by the current model are compared to the predictions using a simplified model where the bath-metal interface is assumed flat. The effects of the induced electric current due to fluid flow and the magnetic field due to the interior cell current on the metal pad deformation and melt flow are investigated. The presented model extends the conventional simplified box model by including detailed cell geometry such as the ledge profile and all channels (side, central and cross-channels). The simulations show the model sensitivity to different side ledge profiles and the cross-channel width by comparing the predicted melt flow and metal pad heaving. In addition, the model dependencies upon the reduction cell operation conditions such as anode cathode distance, current distribution on cathode surface and open/closed channel top, are discussed.

Keywords: Aluminium electrolysis; Magnetohydrodynamics; Multiphase flow; Metal heaving; Melt flow; Computational fluid dynamics

68 **1 Introduction**

69

70 The main industrial process for the production of primary aluminium is based on the Hall-Héroult
 71 process. As shown in Figure 1, alumina powder is dissolved into a thin layer of electrolytic bath
 72 lying on top of a shallow layer of liquid aluminium (also known as bath and metal layers,
 73 respectively). A large electric current is supplied via busbars to the carbon anodes, and flows through
 74 the shallow layers of molten salt electrolyte and molten aluminium into a carbon cathode lining,
 75 where it is collected by iron collector bars. The dissolved aluminium oxide is reduced at the bath-
 76 metal interface to form aluminium droplets which sink to the metal pool at the base of the reduction
 77 cell. Due to the high electrical resistivity in the bath layer, substantial Joule heating is generated.
 78 Besides maintaining the favourable cell operation temperature for the chemical reactions in the
 79 electrolysis process, a large amount of Joule heat is lost to the ambient air. In order to improve the
 80 energy efficiency, it is very important to keep the anode cathode distance (ACD) as low as
 81 technologically possible to minimize heat production. The technical barrier for lowering ACD is that
 82 the interface between the bath and metal layers becomes unstable with respect to its sloshing motion
 83 resulted by the coupling effects of electromagnetic and hydrodynamic forces. A quasi-stationary
 84 motion of the liquid melts and deformation of the metal pad is also resulted inside the reduction cell.
 85 High local velocities in the metal can lead to a weakening of the protecting side ledge which could
 86 limit the lifetime of the cell. A too high metal heaving complicates the anode setting process and
 87 increases the gross consumption of anodes. Hence, understanding the multiphase
 88 magnetohydrodynamic (MHD) flow in the cells and developing a proper tool to predict metal pad
 89 heaving and melt flow is of significant importance to improve the cell operation.



90

91

Figure 1: Vertical cut of a Hall-Héroult cell.

92

93 Accurate measurements of the melt flow and metal pad deformation in the aluminium reduction cells
94 are hindered by the lack of available precision measuring techniques that can tolerate the high
95 temperature and highly corrosive media. Numerical simulations [1-5] are therefore the most feasible
96 way to study the metal flow pattern and metal pad heaving behaviour, and to investigate the reasons
97 for interface instability. The close coupling between the cell geometry and multiphase MHD flow
98 also brings many challenges for the numerical modelling. A typical industrial aluminium reduction
99 cell has very large aspect ratio (cf. Figure 1) with a width of about 4 m, a metal layer thickness of
100 about 20 cm and a bath layer thickness of about 20 cm. The cell length, depending on the number
101 anodes in the cell, is typically in the range of 10-15 m. The anode is immersed in liquid bath with a
102 small distance (ACD) of typically less than 5 cm away from the top of the metal pad. The anodes are
103 separated from each other and from the ledge by the small channels such as cross-channel, central
104 channel and side channel of several centimetres, The high current flowing in the anodes, the cell
105 interior, the cathodes, and external busbars produces an intense magnetic field (\mathbf{B}) in both the
106 exterior and the interior of the aluminium reduction cell. The magnetic field interacts with the cell
107 internal electric current (\mathbf{J}) generating magneto-hydrodynamic (MHD) forces (Lorentz forces). The
108 non-uniform distribution of MHD force leads to melt flow and deformation of the bath-metal
109 interface inside the cell. Due to the heat loss from the side walls of the cell, a layer of frozen ledge is
110 formed. The ledge profile is formed according to the heat balance along the sidewall. Certainly, the
111 ledge profile can affect the electric current density distribution inside the cell and therefore the flow
112 pattern of the liquid melts.

113 Some simplifications were applied in the previous numerical models for simulating melt flow and
114 metal pad deformation in aluminium electrolysis cells. In the studies by Zikanov et al. [3] and
115 Bojarevics and Pericleous [4], a shallow-water model was used to approximate the bath and metal
116 layers separately. Zikanov et al. [3] neglected the vertical variation in each layer. Only horizontal
117 components of the fluid velocity and the Lorentz force were taken into account. Bojarevics and
118 Pericleous [4] assumed that the vertical momentum equation for a small depth fluid could be reduced
119 to quasi-hydrostatic equilibrium between the vertical pressure and the gravity. The complex effects
120 of realistic cell geometry were simplified. It is clear that the shallow-water model has deficiencies in
121 providing high simulation accuracy and sensitivity for optimizing the aluminium reduction cell
122 design and operation.

123 Another numerical model category [1, 5] based on technology of computational fluid dynamics
124 (CFD) takes into account the detailed 3D cell geometry and solves the coupled governing equations
125 for turbulent multiphase fluid flow, electromagnetic field and bath-metal interface tracking. Potocnik

126 [5] made the early trials of using a CFD model to study the bath-metal interface waves in Hall-
127 Heroult cells. The further contributions from Segatz et al. [1] explored more about the possibilities
128 and impacts of CFD modelling for aluminium reduction cell optimization. Severo et al. [6] presented
129 a three-dimensional steady and transient MHD model of aluminium reduction cell by coupling
130 ANSYS and CFX with in-house software. In ANSYS, electrical and magnetic calculations were done
131 with an assumption of a flat metal pad. The calculated electromagnetic force was transferred to CFX,
132 where it was kept constant in the further analysis. Severo et al. [7] compared the performance of
133 different numerical methods (shallow layer method, 3D floating grid method in ESTER/PHOENICS,
134 and 3D VOF method in CFX) to predict the bath-metal interface shape. The complex cell geometry
135 was simplified as a rectangular box with comparable dimensions to a realistic cell. Li et al. [10]
136 reported an inhomogeneous three-phase (bath, metal, gas bubbles) model to predict the melt flow and
137 the bath-metal interface deformation in aluminium reduction cells. Specially, their model took into
138 account the effects of gas bubbles, which were generated under anodes, on the bath flow and the
139 interface stability. The electromagnetic force in the whole fluid region was introduced as a steady
140 source term of the governing equations in the model. Hence, the electromagnetic field was not
141 coupled dynamically with the three-phase model. Recently, a similar modelling approach was also
142 adopted by Wang et al. [13] to understand the effect of innovative cathode geometries (with
143 cylindrical protrusions) on the bath-metal interface fluctuation as well as the energy efficiency in the
144 aluminium electrolytic cell.

145 To approximate flow physics in a realistic aluminium reduction cell, it is essential to couple the
146 model for multiphase flow and the model for electromagnetic field dynamically. Gerbeau et al. [8]
147 reported a numerical simulation approach for a two-fluid magnetohydrodynamic problem arising in
148 the industrial production of aluminium. The motion of two immiscible fluids was modelled through
149 incompressible Navier-stokes equation coupled with Maxwell equations. An arbitrary Lagrangian-
150 Eulerian formulation was used for moving the interface between the two immiscible fluids.
151 Numerical test cases demonstrated the capability of the nonlinear and fully coupled method to
152 simulate complex MHD phenomena. Munger and Vincent [9] presented another approach for
153 simulating magnetohydrodynamic-instability in aluminium reduction cells. It combined a three-
154 dimensional finite-volume method for incompressible fluid flows based on Navier-Stokes equation, a
155 level set technique to track the interface movement, and an electromagnetic model for the evolution
156 of electric and magnetic fields. The feasibility of the numerical methods in [8, 9] was demonstrated
157 through some test cases with simplified cell geometry, but it was not tested for a model with the
158 dimensions of a realistic reduction cell.

159 CFD based multiphase MHD flow models have been used for improving cell design and operation
160 efficiency. Das et al. [11, 12] presented a mathematical model for investigating the
161 magnetohydrodynamic (MHD) effects in aluminium reduction cell using finite element method.
162 Their study focused on the distribution of electromagnetic force and electric current density.
163 Especially, they focused on the effects of the inclination of cell side walls and the cathode collector
164 bar material. In their model, the bath-metal interface was assumed to be flat. Recently, Song et al.
165 [14] used a multiphase MHD flow model to study the impact of cathode material and shape on
166 current density distribution in aluminium reduction cell. The geometry of cathode top was modified
167 to improve the uniformity of current density, lower the metal flow speed and stabilize the bath-metal
168 interface to reduce energy consumption.

169 The new model presented in the following is an attempt to accurately and efficiently predict the melt
170 flow and metal pad heaving in aluminium reduction cells with realistic geometry based on the
171 dynamically-coupled two-phase MHD flow model developed by Hua et al. [15, 16]. This model
172 coupled effects of the electric potential/current distribution, the melt flows in the bath and metal
173 layers, the interface deformation, and the anodes at a constant distance to the metal interface. The
174 model of [15] was based on a rectangular box geometry. Although the details of all channels (side,
175 end, central and cross-channels) were taken into account, the effect of a ledge profile was however
176 ignored. By using this simplification, the model deviates from the situation for realistic aluminium
177 reduction cells. To overcome this deficiency, the model of [15] was extended further in the
178 development of [16] with the capability to account for the effect of a realistic ledge profile so that the
179 model can be used for studying realistic aluminium reduction cells.

180 In this paper, the fundamentals of the multiphase MHD model are presented in Section 2. After this,
181 the model is applied to a hypothetical aluminium reduction cell with realistic cell geometry and
182 operational conditions. The governing transient equations for turbulent multiphase flow, interface
183 tracking and electromagnetic fields are solved fully coupled on one common platform: ANSYS
184 Fluent. The simulation starts with a stationary flow field and flat bath-metal interface with a fixed
185 current density distribution on the cathode as boundary condition and a background magnetic flux
186 density field in the whole solution domain. The electromagnetic force (the Lorentz force) field
187 distribution is calculated at each time step. The electromagnetic model can take into account the
188 induced current due to the movement of conductive fluid in a magnetic field, the induced magnetic
189 field due to the electric current flowing within the reduction cell, and the deformation of the bath-
190 metal interface. The detailed information about the cell geometry and cell operation conditions of a
191 hypothetical aluminium reduction cell is described in section 3. Initially the anode bottom is flat, but

192 updated dynamically keeping a constant distance from the deforming bath-metal interface to ensure
 193 realistic operational conditions of an aluminium reduction cell. The simulation results are presented
 194 in Section 4, where the model sensitivity to cell geometry and operation conditions are analysed and
 195 discussed. This is achieved by comparing the simulation results of melt flow pattern and metal pad
 196 heaving of a reference case with those of the test cases through varying model settings, cell geometry
 197 and boundary conditions. To test the model performance, the effects of the model settings with flat
 198 bath-metal interface, the inclusions of the induced current density and the induced magnetic field are
 199 studied. In order to understand the effects of side ledge and cross-channel, simulations with different
 200 ledge profiles and cross-channel widths were conducted and the predictions on metal pad heaving
 201 and flows are compared. In addition, the sensitivity of the results on ACD, current density
 202 distribution on cathode and open channel top is discussed.

203

204 **2 Fundamentals and model implementation**

205

206 The multiphase MHD flow model is developed and implemented on the platform of a commercial
 207 CFD tool package ANSYS Fluent. By using the so called User Defined Functions (UDF), the
 208 coupling among two-phase liquid flow, interface deformation, magnetic flux density, electrical
 209 potential, current density distribution and the Lorentz force is realized.

210

211 *2.1 Governing equations for melt flow*

212 The two-phase VOF model in ANSYS Fluent is used for solving the governing equations for flow
 213 fields and tracking the interface deformation. The governing equations of continuity and momentum
 214 conservation of the two-phase flow system with incompressible fluids read,

$$215 \quad \nabla \cdot \mathbf{u} = 0 \quad (1)$$

$$216 \quad \frac{\partial}{\partial t}(\rho \mathbf{u}) + \nabla \cdot (\rho \mathbf{u} \mathbf{u}) = -\nabla P + \nabla \cdot [\mu (\nabla \mathbf{u} + \nabla \mathbf{u}^T)] + \mathbf{F}_E + \rho \mathbf{g} \quad (2)$$

217 where \mathbf{u} represents the flow field, and P is the pressure. The gravitational acceleration is \mathbf{g} , and \mathbf{F}_E
 218 the electromagnetic force, which is calculated by the electromagnetic model described in section 2.3.
 219 The fluid density and viscosity are given by ρ and μ respectively. For a two-fluid system, the fluid
 220 properties are calculated with weighted averaging of each phase volume fraction,

221
$$\rho = \rho_1\alpha_1 + \rho_2\alpha_2 \quad (3)$$

222
$$\mu = \mu_1\alpha_1 + \mu_2\alpha_2 \quad (4)$$

223 where the subscripts 1 and 2 denote the primary phase and the secondary phase respectively, and α
 224 the fluid volume fraction. In the present model, the bath is set as the primary phase, and the metal as
 225 the secondary phase.

226 **2.2 Governing equations for bath-metal interface tracking**

227 The VOF method in ANSYS Fluent is used to obtain the distribution of each phase volume fraction
 228 and to track the phase-interface deformation. The continuity of the secondary phase is obtained by
 229 solving the governing equation for the phase volume fraction α_2 ,

230
$$\frac{\partial\alpha_2}{\partial t} + \mathbf{u} \cdot \nabla\alpha_2 = 0 \quad (5)$$

231 The primary-phase volume fraction (α_1) will be determined by the phase continuity constraint:

232
$$\alpha_1 = 1 - \alpha_2 .$$

233

234 **2.3 Governing equations for electromagnetic field**

235 The electric current in the aluminium reduction cell (\mathbf{J}) is calculated from Ohm's law taking into
 236 account the effect of the induced current (\mathbf{J}_{ind}) due to the flowing conductive liquid in a magnetic
 237 field (\mathbf{B}),

238
$$\mathbf{J} = \sigma\mathbf{E} + \mathbf{J}_{ind} \quad \text{and} \quad \mathbf{J}_{ind} = \sigma(\mathbf{u} \times \mathbf{B}) \quad (6)$$

239 where σ is the electrical conductivity of liquid, \mathbf{E} is the electric field intensity, and \mathbf{B} is the magnetic
 240 flux density. The electric field intensity can be expressed in terms of electrical potential (φ) as
 241 $\mathbf{E} = -\nabla\varphi$. The charge conservation principle ($\nabla \cdot \mathbf{J} = 0$) gives the governing equation for electric
 242 potential as

243
$$\nabla \cdot \sigma\nabla\varphi = \nabla \cdot \mathbf{J}_{ind} = \nabla \cdot [\sigma(\mathbf{u} \times \mathbf{B})] \quad (7)$$

244 A volume fraction weighted harmonic average method is mandatory to calculate the distribution of
 245 electrical conductivity,

246
$$\frac{1}{\sigma} = \frac{\alpha_1}{\sigma_1} + \frac{\alpha_2}{\sigma_2} \quad (8)$$

247 So, the distribution of the electrical conductivity field in the fluid is varied as the bath-metal interface
 248 deforms.

249 A user defined scalar equation on the ANSYS Fluent platform is set up to solve the governing
 250 equation (7) for electric potential distribution inside the reduction cell. The electric current density
 251 inside the cell can be calculated as,

$$252 \quad \mathbf{J} = -\sigma \nabla \varphi + \sigma (\mathbf{u} \times \mathbf{B}) \quad (9)$$

253 The magnetic field is calculated using steady-state Maxwell's equations,

$$254 \quad \nabla \cdot \mathbf{B} = 0, \quad (10)$$

$$255 \quad \nabla \times \mathbf{H} = \mathbf{J}_t, \quad (11)$$

$$256 \quad \mathbf{B} = \eta \cdot \mathbf{H}, \quad (12)$$

257 where \mathbf{H} is the magnetic field intensity and η the magnetic permeability of fluid. \mathbf{J}_t is the total
 258 electric current including both the current flowing inside the reduction cell (\mathbf{J}) and the electric
 259 current in the external busbar system (\mathbf{J}_o). In virtue of Helmholtz's theorem, the magnetic vector
 260 potential (\mathbf{A}) can be defined uniquely by

$$261 \quad \nabla \times \mathbf{A} = \mathbf{B}. \quad (13)$$

262 The governing equation for magnetic vector potential (\mathbf{A}) can be reformulated as,

$$263 \quad \nabla^2 \mathbf{A} = -\eta \mathbf{J}_t. \quad (14)$$

264 Inside aluminium reduction cells, a large part of the magnetic field is given by the electric current in
 265 the busbar system (\mathbf{J}_o). This part of magnetic field is also known as background magnetic flux
 266 density field (\mathbf{B}_o). Since the aluminium reduction cell is the focus of the current study, the busbar
 267 system is neglected. The background magnetic flux density field is given analytically to simplify the
 268 benchmarking. It is based on a least square fitting of results calculated by an in-house
 269 electromagnetic model which includes the busbar system. The magnetic field is also partly given by
 270 the electric current inside the aluminium reduction cell. The magnetic vector potential (\mathbf{A}_i) for the
 271 induced magnetic field (\mathbf{B}_i) can be obtained by solving the following equation

$$272 \quad \frac{1}{\eta} \nabla^2 \mathbf{A}_i = -\mathbf{J} = \sigma \nabla \varphi - \sigma (\mathbf{u} \times \mathbf{B}). \quad (15)$$

273 with the boundary values (\mathbf{A}_b) by Biot-Savart law

$$274 \quad \mathbf{A}_b = \frac{\eta}{4\pi} \int_V \frac{\mathbf{J}}{R} dV. \quad (16)$$

275 where R is the distance between the boundary point and the mesh elements inside the integration
276 domain, the whole volume V of the reduction cell. The induced magnetic flux density field (\mathbf{B}_i) can
277 be calculated from the magnetic vector potential (\mathbf{A}_i) from equation (15) as,

$$278 \quad \mathbf{B}_i = \nabla \times \mathbf{A}_i. \quad (17)$$

279 The total magnetic flux density field inside the aluminium reduction cell can be calculated as,

$$280 \quad \mathbf{B} = \mathbf{B}_o + \mathbf{B}_i. \quad (18)$$

281 The electromagnetic force (Lorentz force) density is given as

$$282 \quad \mathbf{F}_E = \mathbf{J} \times \mathbf{B}, \quad (19)$$

283

284 **2.4 Turbulence model**

285 To simulate the melt flow in a realistic reduction cell with dimensions about 10 m in length and 4 m
286 in width, a proper turbulence model is necessary. To limit the complexity of the problem, the
287 standard k- ϵ turbulence model with standard wall functions is used to calculate the turbulent
288 viscosity in the each phase. Our numerical exercises [15, 16] indicate that the standard k- ϵ turbulence
289 model makes reasonable predictions with relatively coarse meshes, which shortens the total
290 calculation time required for the transient simulation of the metal pad profile development in the
291 aluminum electrolysis cells. The deficiencies of the k- ϵ turbulence model for such type of flow,
292 where recirculation and re-attachment could occur at the boundary layer, are well known (cf. Pope
293 [17]). Alternative, e.g. k-omega model, could be a better choice, but ultimately require an
294 exceedingly fine meshing for the boundary layer and long calculation time for the current
295 application.

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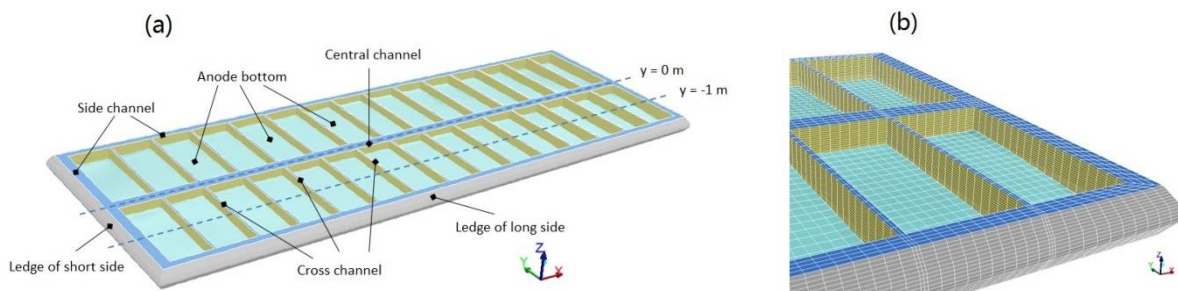
297 **3 Model description and realistic reference model**

298

299 **3.1 CFD model**

300 The overall geometry of an aluminium reduction cell is shown in Figure 2(a). It has a length of 11.6
301 m and a width of 3.9 m. The thickness of the metal layer is 0.24 m, and that of the bath layer is 0.21
302 m. The ACD is set to be 0.04 m. The aluminium reduction cell consists of thirty anodes in total. Each
303 anode has the dimensions of 0.704 m \times 1.61 m in the horizontal directions. The width of central
304 channel and cross-channels is 0.2 m and 0.04 m, respectively. The width of the end- and side-
305 channels is now defined by the ledge profiles which are given in section 3.4.

306 A structured mesh of hexahedral cells is used for the CFD model as shown in Figure 2(b). In the
307 horizontal directions, the central channel and side channel are meshed with four mesh cells, the
308 cross-channel is meshed with two mesh cells, and each anode is meshed with 20 \times 12 cells. In the
309 vertical direction, the model is divided into three zones. The top zone covering the bath layer above
310 the anode bottom is meshed with twelve cells. The middle zone is the interface deformation zone. It
311 has a thickness of 0.26 m which covers the ACD zone and part of metal layer. It is meshed with
312 eighteen cells with fine meshes to capture the interface deformation. The bottom zone, which has a
313 height of 0.086 m, is meshed with ten mesh cells. The CFD model contains 305216 hexahedral cells
314 in total. The selection of hexahedral cell is based on our experience that larger aspect ratio with large
315 dimension in the horizontal directions and small dimension in the vertical direction is tolerated in the
316 simulations. Good simulation accuracy can be obtained with fewer elements, which ultimately
317 speeds up the simulations.

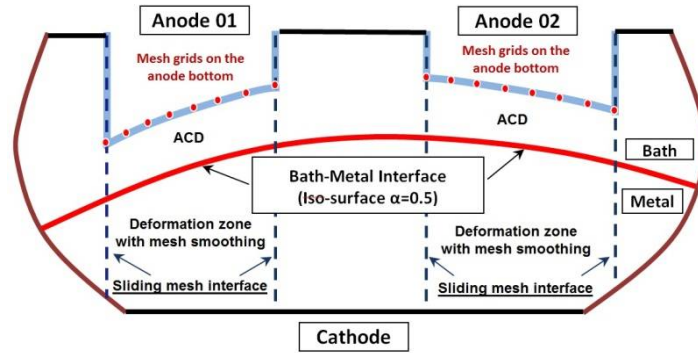


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319 **Figure 2:** (a) An overview of the model for a realistic reference alumina reduction cell,
320 (b) a zoom view of the CFD model about ledge profile and deformed anode bottom.

322 **3.2 Strategy for maintaining constant ACD**

323 In the realistic cell operation, the anode bottom position and anode current pick-up are affected by at
324 least two basic mechanisms: (1) the vertical positions of all anodes are adjusted mechanically by an

325 ACD controlling system which moves all anodes at the same time up or down by the same distance
 326 regulating the cell voltage; (2) the individual anode bottom is burned off in the electrolysis process
 327 depending on the distance to the metal surface. In the present model, a quasi-static situation is
 328 considered a couple of hours after the last anode movement (mechanism (1)) where all anode
 329 bottoms have the same distance to the metal by the burn-off mechanism (mechanism (2)).



330

331 **Figure 3:** Modelling strategy to maintain constant anode cathode distance (ACD) by relocating the
 332 anode bottom mesh grids according to the deformed bath-metal interface.

333

334 Significant efforts have been put on developing the model capability to ensure a constant ACD based
 335 on the calculated metal heaving as shown in Figure 3. We implement this in ANSYS Fluent by
 336 applying the sliding mesh feature. Vertical sliding mesh interfaces between the region under the
 337 anodes and the region under the channels are created. The meshes on both sides of the sliding mesh
 338 interfaces may be non-conformal, and the fluid flow data on one side of the interface can be
 339 interpolated from the other side to ensure continuity. To mimic the anode consumption, the vertical
 340 position of each anode bottom grid has to be adjusted according to the bath-metal interface height.
 341 Following the anode bottom grid adjustment, the connected mesh in the neighbouring region under
 342 the anodes is deformed accordingly, known as the mesh deformation zone. To maintain reasonably
 343 good mesh quality in this mesh deformation zone, mesh smoothing technique is adopted as well. To
 344 implement the above described modelling strategy, ANSYS Fluent UDFs has been developed to
 345 calculate the vertical distance between the bath-metal interface and the anode bottom at each time
 346 step.

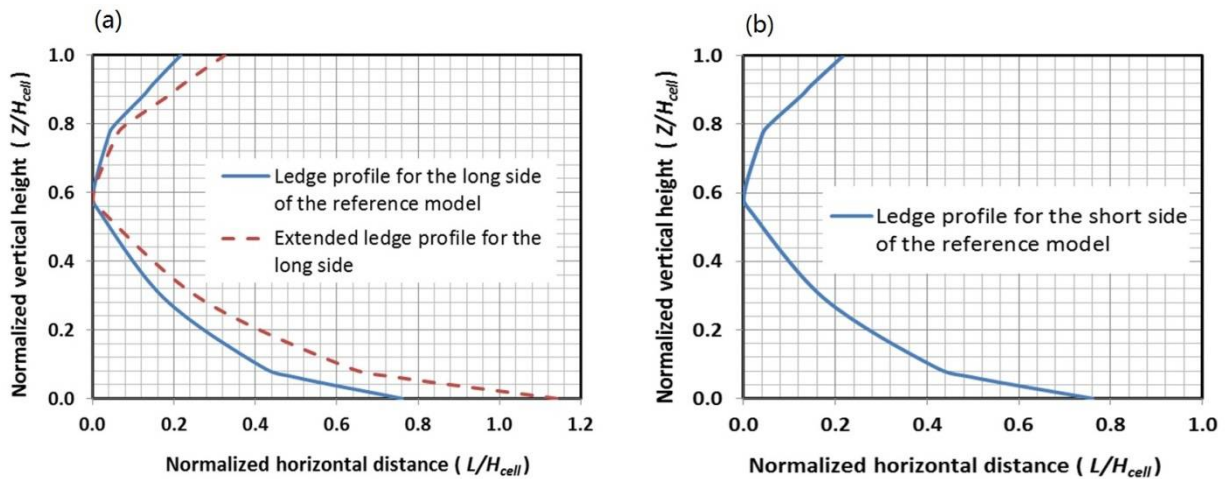
347 The ability to maintain a constant ACD provides a better approximation to the operation of realistic
 348 aluminium reduction cells. Especially, when modelling high energy efficient reduction cells with
 349 small ACD, the overall deformation of bath-metal interface can be larger than ACD and it may touch

350 the anode bottom during the simulation if the anode bottom is kept fixed. This makes the model
351 deviate from the situation of actual cell operation, and may lead to simulation divergence.

352 3.3 Material properties

353 In the CFD model setup, the material properties for the fluids, electrolyte and liquid aluminium are
354 required; they are summarized in Table 1.

355 3.4 Boundary conditions



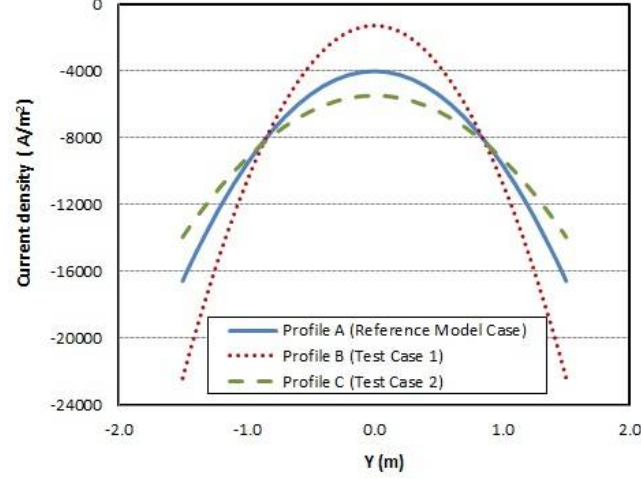
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357 **Figure 4:** (a) Ledge profiles for the long side of the reference model and for a test model to
358 investigate its significance; (b) Ledge profile for the short side of the reference model.

359 In order to simplify the cell geometry a rectangular box was used in the CFD models of [7, 15] to
360 simulate the melt flow and bath-metal interface deformation in aluminium reduction cells. The study
361 of Das et al. [11] indicated that the direction of Lorentz force is significantly influenced by the slope
362 of the cell side walls and is important to convective flow of metal and bath inside the cell. Actually,
363 the ledge profile is dynamic and changes during cell operation. In order to make the current CFD
364 model resemble the realistic aluminium reduction cell more closely, the ledge profile due to frozen
365 alumina on the cell walls should be taken into account. Figure 4 shows two normalized side ledge
366 profiles introduced in this paper to study the effects of side ledge profile on bath and metal flow
367 fields and metal pad heaving. Here, the side ledge profile is normalized with respect to the cell
368 height, the total thickness of bath and metal layers. One ledge profile is used for the reference model,
369 and the extended ledge profile is used to study model sensitivity. The ledge profiles are based on the
370 analysis results of an in-house ledge profile code.

371

372 For fluid flow, no slip boundary conditions are applied on all solid wall surfaces. The free surface on
 373 the channel top is simplified as slip boundary with zero shear stress. Standard wall functions are
 374 assumed on all solid walls for solving the k-ε turbulence model.



375

376

Figure 5: Electric current density profiles on the cathode surface.

377

378 As for the boundary conditions for the electric potential equation, zero electric potential is set on
 379 anode bottom and anode sides. Electric insulation conditions are applied on the cell side walls and
 380 the channel top, where the normal current density is set zero. Three profiles of normal current density
 381 (A/m^2) on the cathode surface as shown in Figure 5 are assumed for different test cases,

382

$$J_z^A = J_k(-4016 - 5577Y^2). \quad (21)$$

383

$$J_z^B = J_k(-1286 - 9374Y^2). \quad (22)$$

384

$$J_z^C = J_k(-5475 - 3764Y^2). \quad (23)$$

385

386 where J_k is a scalar factor with unit A/m^2 , which ensures that the total current on cathode matches
 387 the cell amperage 300 kA for the simulation tests with different ledge profiles. Y is the normalized
 388 coordinate $Y = y/L_0$, where L_0 is the length scale unit $L_0 = 1$ m. The origin of the coordinate system
 389 is located at the centre of cell bottom. The normal current density profile J_z^A is used for the reference
 390 model and the normal current density profiles J_z^B and J_z^C for sensitivity study. The assumptions of
 the current density distribution profiles are based on the analysis results of an in-house

391 electromagnetic model and our experience from realistic cell operations. Similar current density
392 profiles can also be found in [7] for the benchmark study case.

393 The background magnetic field (\mathbf{B}_o) imposed upon both bath and metal layers inside the reduction
394 cell is assumed as,

$$395 \quad \begin{cases} B_x = B_k(-1.5 - 0.2X + 8.0Y) \\ B_y = B_k(-0.7 - 1.0X + 0.2Y) \\ B_z = B_k(-0.02 - 0.1X - 0.5Y - 0.7XY) \end{cases} \quad (24)$$

396 where B_k is the magnetic flux density scale in unit mT. The normalized coordinate $X = x/L_0$. It is
397 assumed that the background magnetic field has no dependence upon the vertical coordinate Z . This
398 is a best fitted correlation upon the result of an in-house magnetic field model for realistic aluminium
399 reduction cells. The background magnetic field (\mathbf{B}_o) shows the main characteristics of those seen in
400 realistic aluminium reduction cells where only the busbar system is included.

401 The initial fluid flow field inside the reduction cell is assumed to be stationary. The bath-metal
402 interface is initialized as a flat horizontal surface with an ACD of 0.04 m under the anode bottom.
403 The initial electric potential is set to zero everywhere.

404

405 **3.5 Solution method**

406 General numerical schemes provided by ANSYS Fluent were applied: “SIMPLE” for pressure-
407 velocity coupling, the spatial discretization scheme “PRESTO!” for pressure, the “Geo-Reconstruct”
408 scheme for volume fraction, and “First Order Upwind” for other equations. Transient simulation is
409 adopted. “First Order Implicit” scheme is applied for the transient formulation. The time step size is
410 set to constant as 0.04 s. The steadiness of the transient simulation results is estimated by averaging
411 the transient data over a certain period of 4 s. It is found that the simulations reach quasi-steady state
412 after 200 s simulation time (5000 time steps).

413

414 **4 Results and discussion**

415

416 **4.1 Results of realistic reference model**

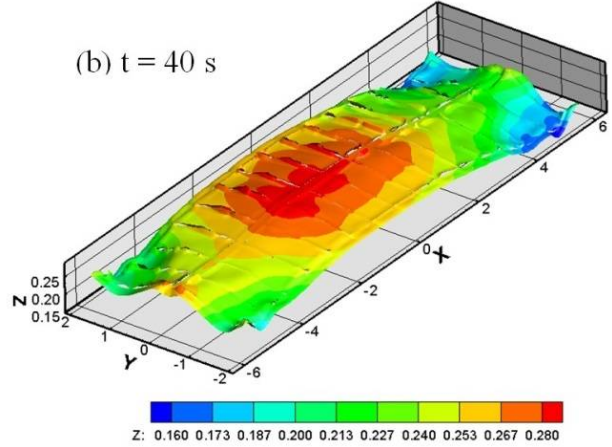
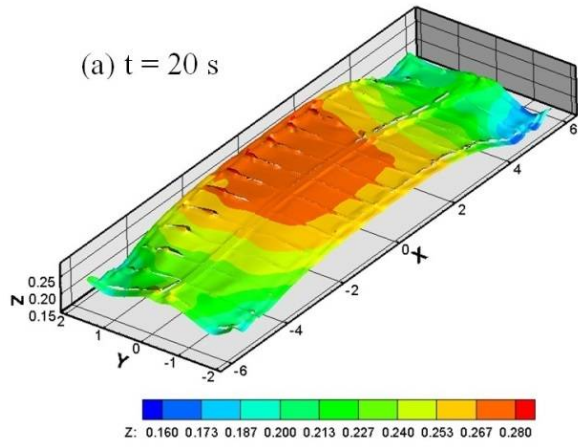
417 A reference model is set up to study the model sensitivity through varying the model settings. For the
418 reference model, the induced current density due to a flowing conductive liquid in magnetic field is
419 ignored ($\mathbf{J}_{ind} = 0$), and the induced magnetic flux density field due to the current flowing inside the
420 cell is neglected ($\mathbf{B}_i = 0$). The ledge profiles for the reference model are shown in Figure 4. The
421 normal electric current density profile on the cathode surface for the reference model (Profile A) is
422 shown in Figure 5.

423 The temporal variations of the metal pad height and the flow pattern on the metal pad for the
424 reference reduction cell are shown in Figures 6 and 7, respectively. Just 20 s after the start of the
425 simulation, the bath-metal interface, as shown in Figure 6(a), significantly heaves at the cell centre,
426 and sinks at the four corners of the reduction cell. The heaving amplitude of metal pad varies slightly
427 along the short axis of the cell, but the metal pad height varies significantly along the long axis of the
428 cell. The corresponding flow pattern on the bath-metal interface is shown in Figure 7(a). Certainly,
429 the circulating melt flows at the corners of the reduction cell also contribute to lower the bath-metal
430 interface at the centres of the vortices as shown in Figures 7(b), (c) and (d),

431 As the melt flow develops inside the reduction cell, several vortices are induced at the cell centre. As
432 a result, the bath-metal interface is adjusted accordingly. A dome-shaped metal pad is formed inside
433 the aluminium reduction cell as shown in Figures 6(b), (c) and (d). Finally, both the flow pattern of
434 the melt on the bath-metal interface (shown in Figures 7(e) and (f)) and the metal pad heaving
435 (shown in Figures 6(e) and (f)) reach a quasi-steady state with minor changes with time.

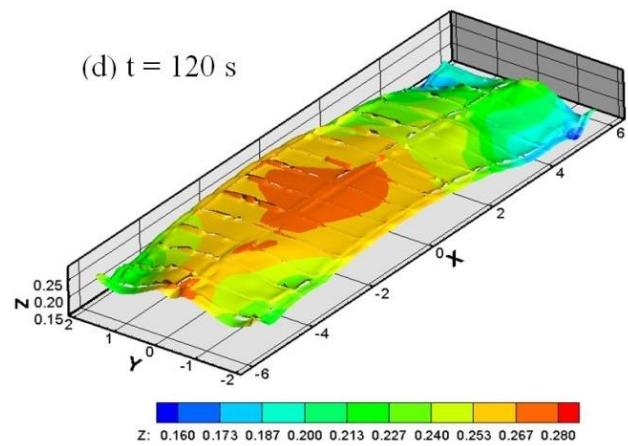
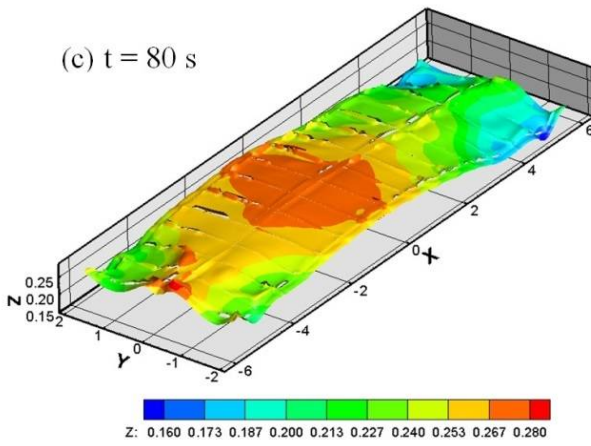
436 In real cell operation, the process from the starting to the steady operation may take hours or even
437 days, which is longer than the initial period about 100 s shown in the simulation. This deviation is
438 due to the lack of proper sub-models for anode burn-off mechanism and anode movement
439 mechanically by the ACD controlling system, especially for the cell start-up process. To mimic the
440 anode movement and bottom profile development, the anode bottom is adjusted according to the
441 bath-metal interface height to keep a constant ACD. This modelling method provides us with a quick
442 and reliable transition period from the initial stationary flow field with a flat bath-metal interface to
443 the quasi-steady state flows with significant metal pad heaving in the simulations. Certainly, our
444 interest is put on the predictions of the metal pad heaving and the melt flows at the quasi-steady state,
445 not in the transition period. The predicted behaviour in the transition period can only provide us with
446 some hints about the mechanism of developing the metal pad heaving and the melt flow patterns.

447



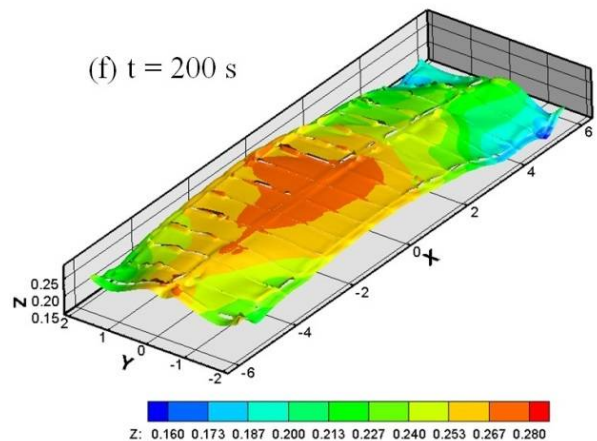
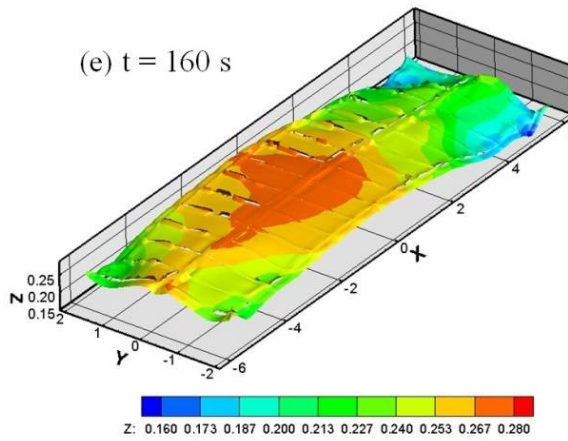
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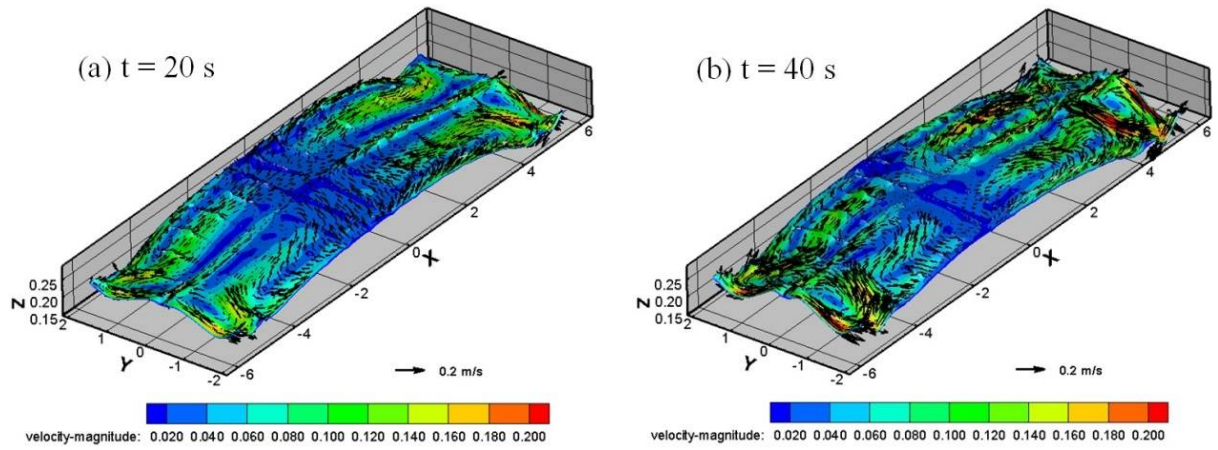
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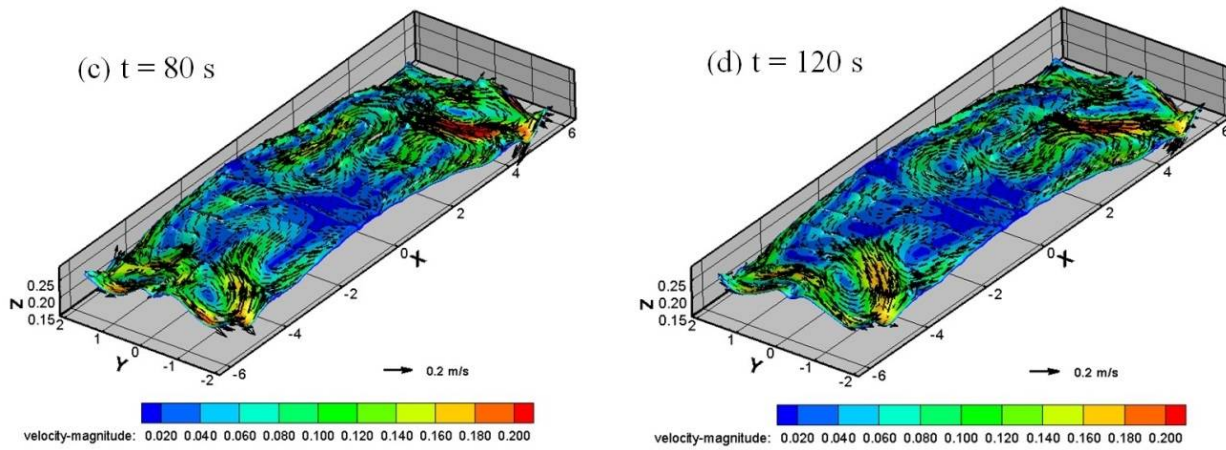
Figure 6: Predicted temporal variation of bath-metal interface height for the reference aluminium reduction cell.

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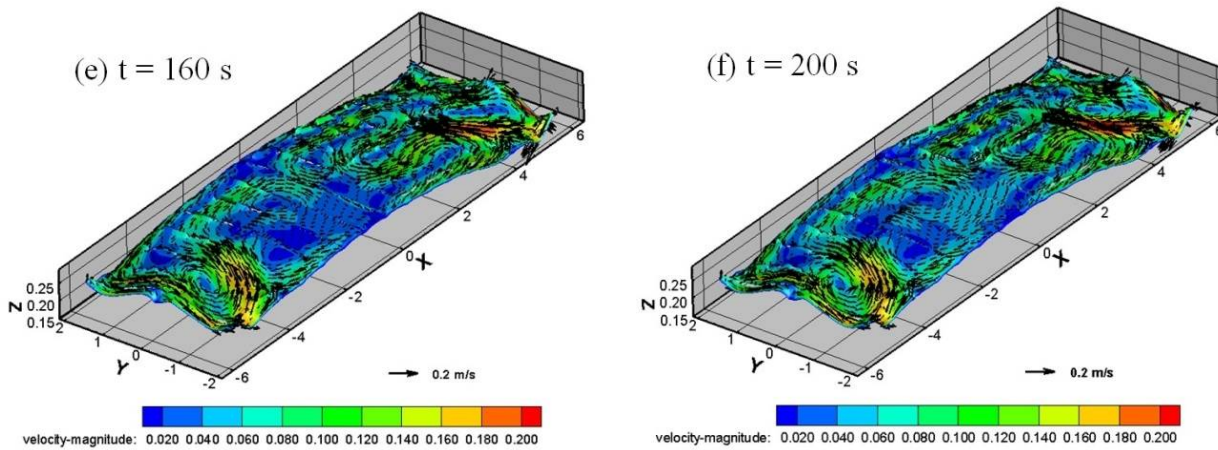
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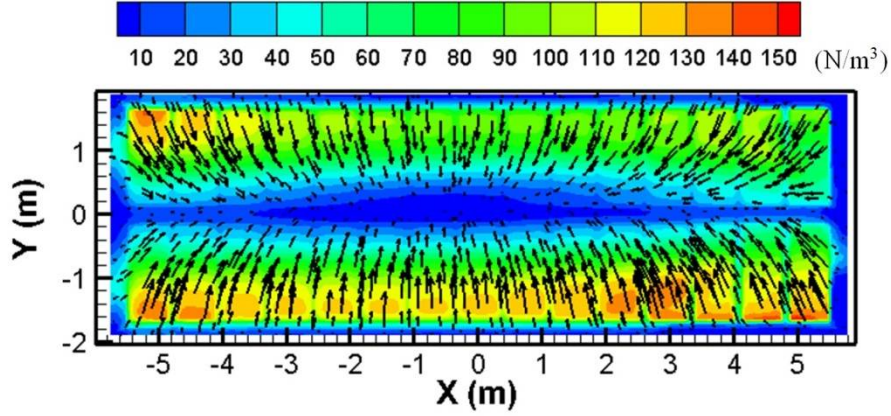
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466

Figure 7: Predicted temporal variation of flow pattern on the bath- metal interface for the reference aluminium reduction cell.



467

468 **Figure 8:** Distribution of Lorentz force on the horizontal plane $Z=0.2\text{m}$ at the quasi-steady state.

469

470 Figure 8 shows the distribution of electromagnetic force on the horizontal plane $z = 0.2\text{ m}$ at the
 471 quasi-steady state. The vectors of Lorentz force point from the cell sides to the centre. The
 472 magnitude of the Lorentz force is smaller at the cell centre and larger at the cell sides. It is believed
 473 that the irrotational part of the electromagnetic force is the dominating cause of the metal pad
 474 heaving before the flow pattern is built up inside the reduction cell. Under the effect of the
 475 electromagnetic forces, circulating flows are started at the four corners of the reduction cell, and a
 476 quasi-steady state circulating melt flow and bath-metal interface deformation can be obtained
 477 eventually.

478 The simulation results for the reference cell model indicate that the maximum difference of metal
 479 pad height (metal pad heaving) is about 0.13 m , and maximum velocity on the bath-metal interface is
 480 about 0.22 m/s . These are typical values for realistic reduction cells under similar operating
 481 conditions.

482

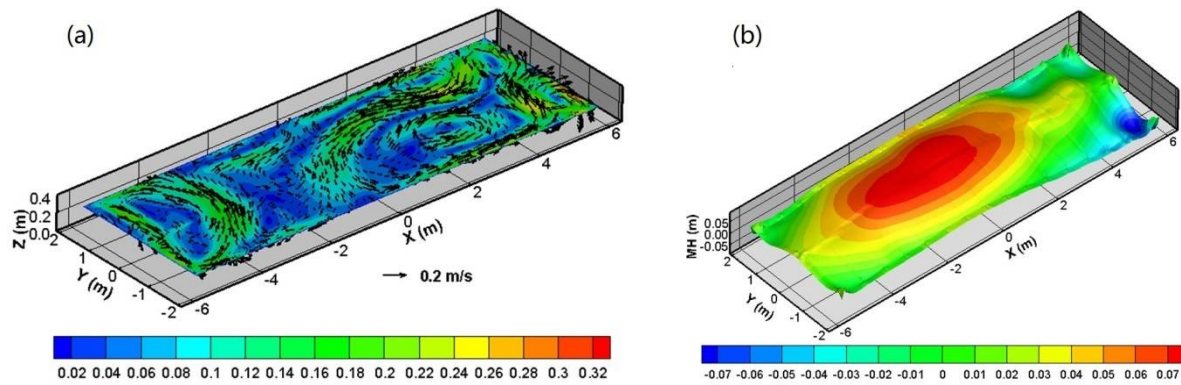
483 **4.2 Model with assumption of flat bath-metal interface**

484 In several previous numerical models [11], for aluminium reduction cells, the bath-metal interface is
 485 assumed to be flat when calculating the melt flow under the effect of an electromagnetic force. The
 486 pressure head distribution on the flat interface is then used to estimate the metal pad deformation (H_{mpd})
 487 inside the reduction cell as,

488

$$H_{mpd} = \frac{p}{g(\rho_{metal} - \rho_{bath})}. \quad (25)$$

489 In order to understand the difference caused by the assumption of flat interface in the modelling, we
 490 conduct a simulation test case which freezes the bath-metal interface at its initial position, and the
 491 simulation results are compared with those of the reference model. The predicted quasi-steady state
 492 liquid flow on the flat interface is shown in Figure 9(a). When it is compared with the predictions of
 493 the reference model shown in Figure 7, the overall liquid flow pattern on the interface is quite
 494 different. The maximum liquid flow magnitude predicted by this model is higher than that by the
 495 reference model. The circulating liquid flows at the long ends of the reduction cell are predicted by
 496 both models. The estimated metal pad deformation is shown in Figure 9(b). When it is compared to
 497 the predicted bath-metal interface height distribution obtained by the reference model, both models
 498 predict the metal pad heaving at the cell centre, however the heaving profiles are quite different. By
 499 comparing the model predictions, it can be concluded that the assumption of a flat bath-metal
 500 interface [11] can result in significant deviations compared to the model without this assumption.



501

502 **Figure 9:** (a) Predicted flow pattern on the bath-metal interface and (b) estimated metal pad heaving
 503 for an aluminium reduction cell model with the assumption of flat bath-metal interface.

504

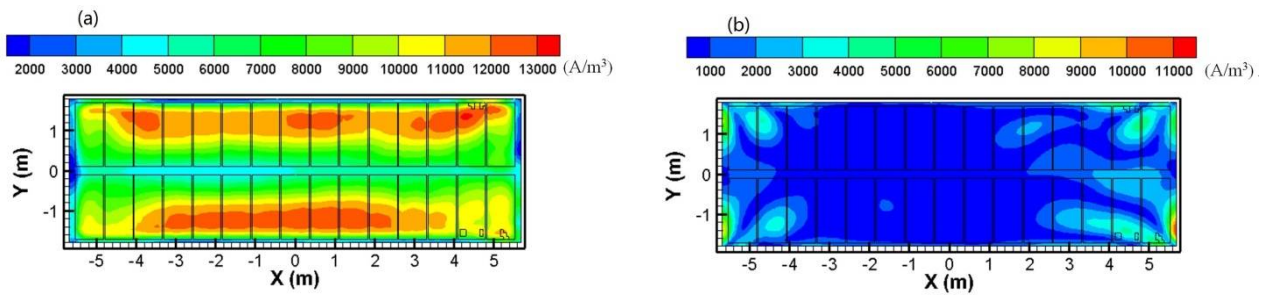
505 4.3 Effect of flow induced electric current

506 In order to investigate the effect of induced electric current, a simulation is conducted based on the
 507 basic settings of the reference model except that the setting for the induced electric current, due to
 508 conductive liquid flowing in magnetic field, is turned on. At the quasi-steady state, the model
 509 prediction of the total current distribution on a horizontal plane ($z = 0.1$ m) in the metal layer is
 510 shown in Figure 10(a), and the induced current distribution in Figure 10(b). The high magnitude of
 511 induced electric current occurs at the two longitudinal ends of the reduction cell, where the liquid
 512 flows at high speed. The induced electric current density magnitude is about one third of the total
 513 electric current locally, which contributes significantly to the current density distribution in the
 514 reduction cell. The predicted bath-metal interface height distribution and the flow pattern are shown
 515 in Figure 11. Compared with predictions of the reference model, they are quite similar. Detailed

516 comparisons of the metal pad deformation (averaged from $t = 140$ s to $t = 200$ s) along the cell
 517 central channel ($y = 0$ m) and the cell long side section ($y = -1.0$ m) predicted by the current model
 518 and the reference model are shown in Figure 12. The induced electric current does not affect the
 519 overall metal pad deformation, but does affect the metal pad deformation at both longitudinal ends of
 520 the reduction cell.

521

522

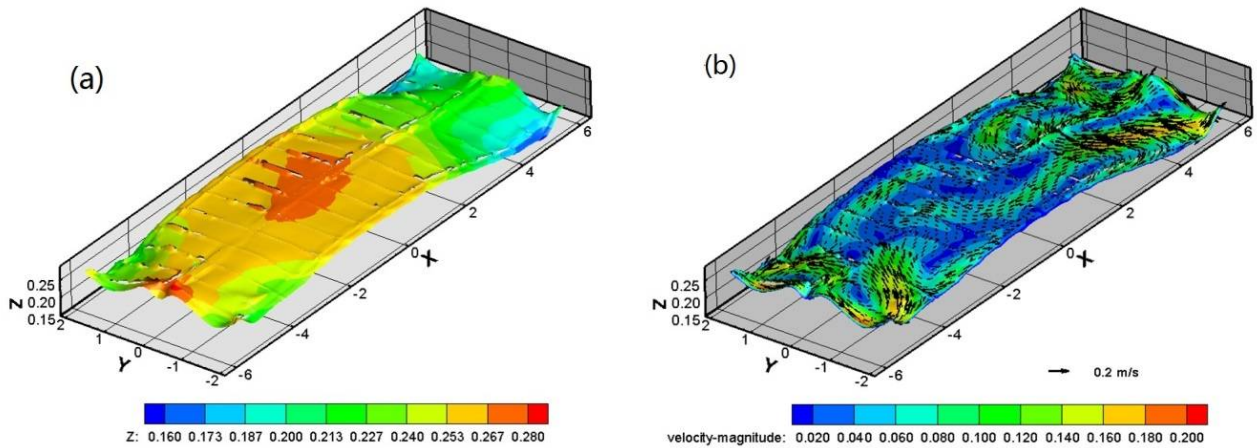


523

524 **Figure 10:** Distributions of (a) total current magnitude and (b) flow induced electric current
 525 magnitude at the horizontal plan of $z = 0.1$ m at the quasi-steady state.

526

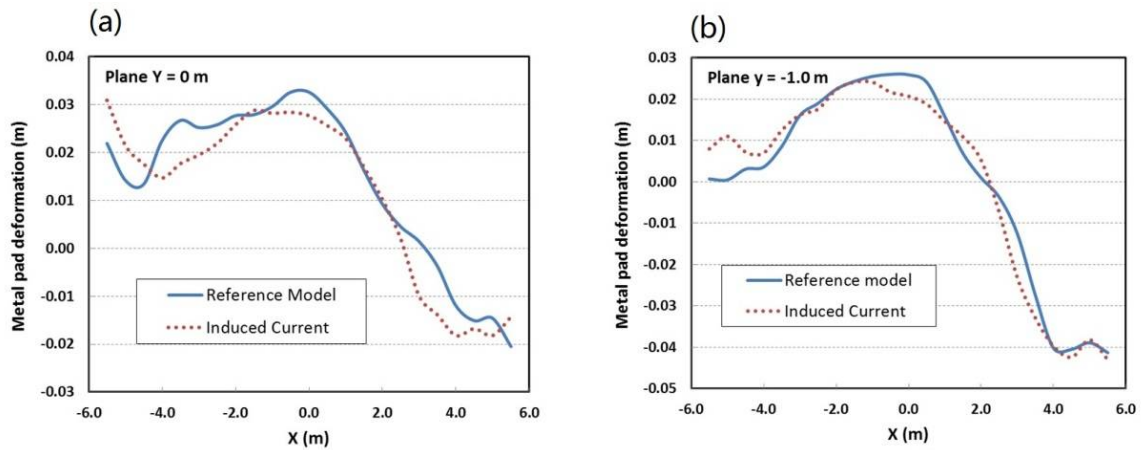
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528

529 **Figure 11:** Predicted (a) bath-metal interface height and (b) flow pattern on the interface for the
 530 modified aluminium reduce cell model with flow induced electric current.

531



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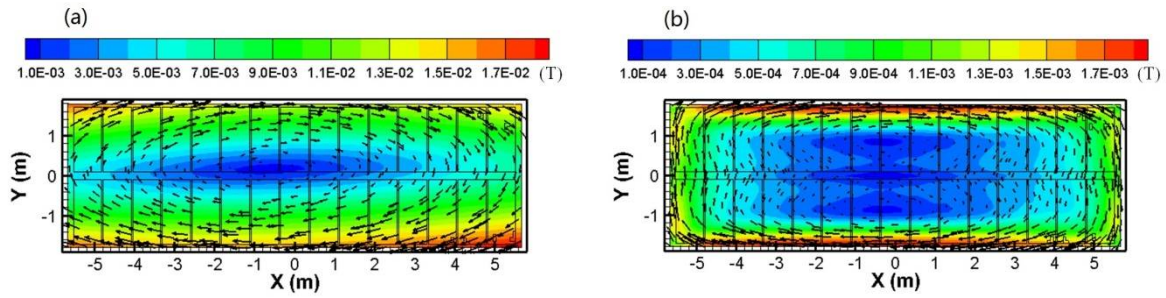
533 **Figure 12:** Comparison of the metal pad deformation along (a) the central channel $y = 0$ m and (b)
 534 the cell long side section $y = -1.0$ m under the effect of the induced current due to conductive melts
 535 flows in magnetic field.

536

537 **4.4 Effect of inside cell current induced magnetic field**

538 In order to investigate the effect of the electric current density flowing inside the reduction cell, a
 539 simulation test is conducted to take into account the magnetic field contribution due to the current
 540 density inside the cell. The rest of the model settings are the same as those in the reference case. The
 541 distribution of background magnetic flux density (\mathbf{B}_o) at the horizontal plane ($z = 0.1$ m) is shown in
 542 Figure 13(a), and the distribution of the induced magnetic flux density (\mathbf{B}_i) due to the cell-inside
 543 current is shown in Figure 13(b). The distribution of the induced magnetic flux density follows the
 544 pattern of background magnetic field closely, but its magnitude is about one tenth of that of the
 545 background magnetic field. The predicted bath-metal deformation and flow pattern are shown in
 546 Figure 14. Compared with predictions of the reference model, the differences are very small.
 547 Detailed comparisons of metal pad deformation (averaged from $t = 140$ s to $t = 200$ s) along the cell
 548 central channel ($y = 0$ m) and the cell long side section ($y = -1.0$ m) predicted by the current model
 549 and the reference model is shown in Figure 15. The metal pad deformation predicted by the current
 550 model is very close to the reference model. Hence, it can be concluded that the magnetic field due to
 551 the current density inside the cell has a minor effect on the metal pad deformation.

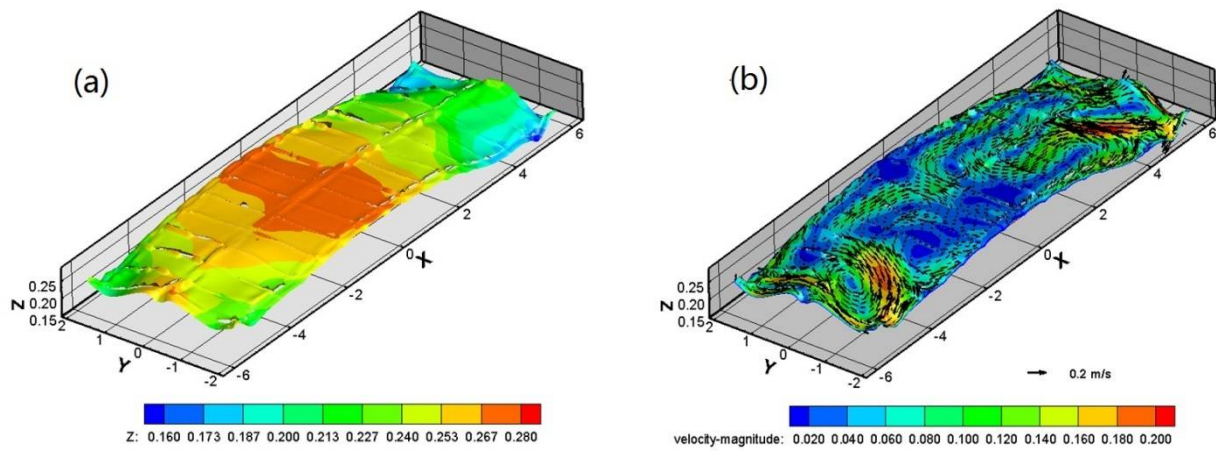
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553

554 **Figure 13:** Distributions of (a) background magnetic flux density and (b) cell inside electric current
 555 induced magnetic flux density at the horizontal plan of $z = 0.1\text{m}$.

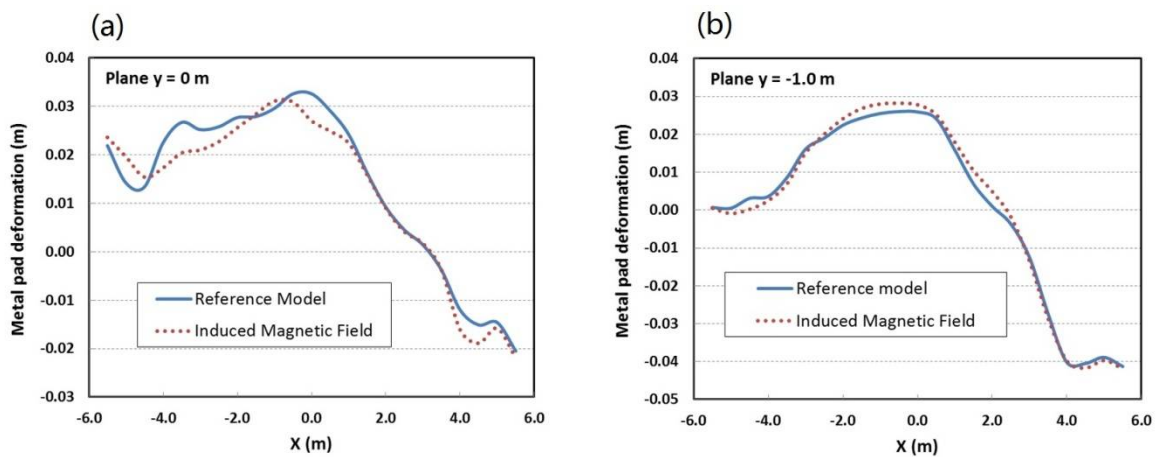
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557

558 **Figure 14:** Predicted (a) bath-metal interface height and (b) flow pattern on the interface for the
 559 modified aluminium reduce cell model with induced magnetic field.

560



561

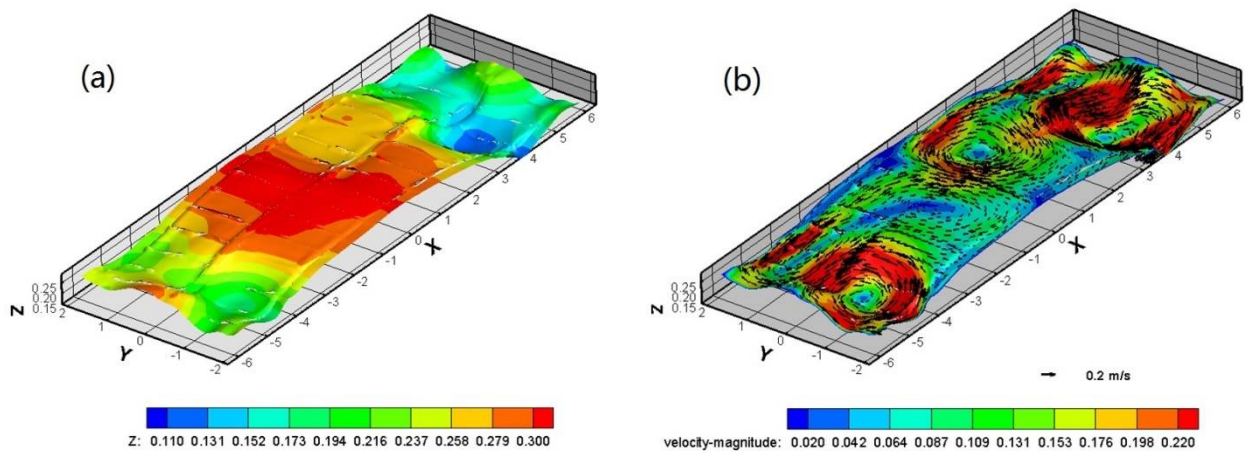
562 **Figure 15:** Comparison of the metal pad deformation along (a) the central channel $y = 0\text{ m}$ and (b)
 563 the cell long side section $y = -1.0\text{ m}$ under the effect of cell inside electric current induced magnetic
 564 field.

565

566 **4.5 Effect of side ledge profile**

567 In order to understand the effects of the ledge profile, we conducted two more simulations: one based
568 on a box cell model [15] and the other based on the modified reference model, with the extended
569 ledge profile as shown in Figure 2. The concept of box cell model was used in many early studies [7]
570 to simplify the complex cell geometry. Figure 16 shows (a) the predicted metal pad deformation and
571 (b) the flow pattern on the bath-metal interface. When they are compared to the results of the
572 reference model shown in Figures 6(f) and 7(f), it is clear that the box cell model over predicts the
573 metal heaving deformation and produces much stronger circulating flows in the cell corners, which
574 deviates from the realistic scenario. This shows that simplifications of cell geometry may lead to
575 significant errors in the metal pad deformation predictions.

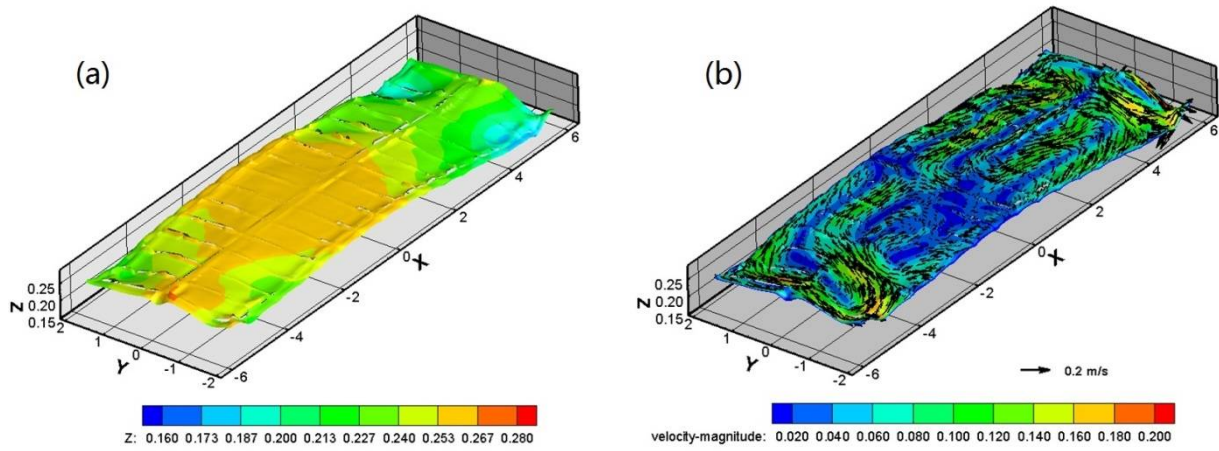
576 Figure 17 shows the simulation results for the modified reference cell model with the extended ledge
577 profile for the long side only. Compared to those of the reference model, the metal pad heaving
578 amplitude is smaller, the flow pattern on the bath-metal interface is changed, and the flow speed is
579 lower. The slanted angle of side walls [11] or the ledge profiles can affect the directions of Lorentz
580 force and the liquid flow near the cell sidewall, and finally the flow pattern in the whole aluminium
581 reduction cell.



582
583 **Figure 16:** Predicted (a) bath-metal interface height and (b) flow pattern on the interface for the box
584 cell model, where the ledge profile is assumed to be vertical.

585
586 A comparison of the metal pad deformation (averaged from $t = 140$ s to $t = 200$ s) along the central
587 plane ($y = 0$ m) and the long side plane ($y = -1.0$ m) for the different ledge profiles is shown in
588 Figure 18. The ledge profile affects the metal pad deformation [11] significantly, especially when the
589 cell sidewall is changed from a nearly vertical wall to an inclined wall. In other words, the metal pad
590 heaving and flow pattern inside the aluminium reduction cells can be adjusted by controlling the

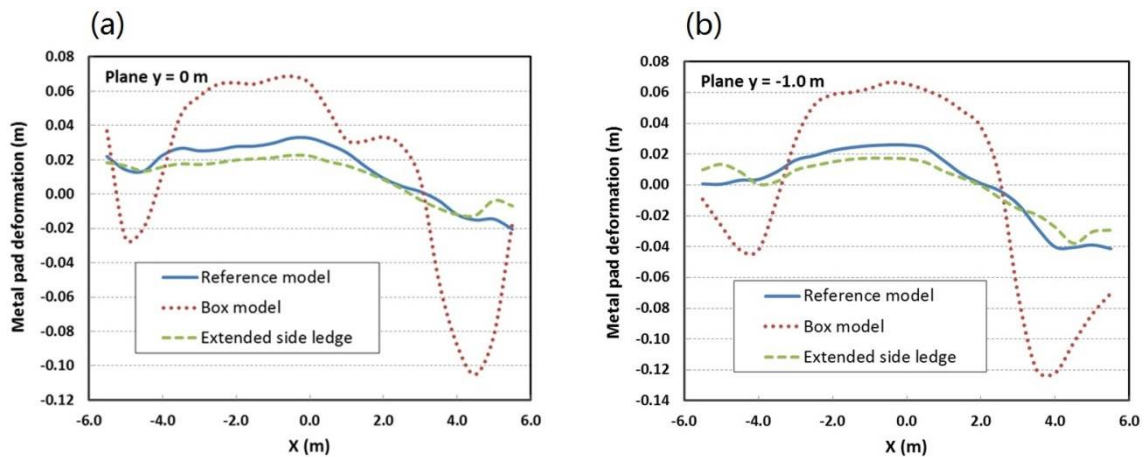
591 ledge profile. The ledge profile can possibly be changed by introducing a side wall inclination or
 592 controlling the heat loss rate on the side wall.



593

594 **Figure 17:** Predicted (a) bath-metal interface height and (b) flow pattern on the interface for the
 595 modified aluminium reduce cell model with extended side ledge profile.

596



597

598 **Figure 18:** Comparison of the metal pad deformation along (a) the central channel $y = 0$ m and (b)
 599 the cell long side section $y = -1.0$ m under different side ledge profiles

600

601 4.6 Effect of cross-channel

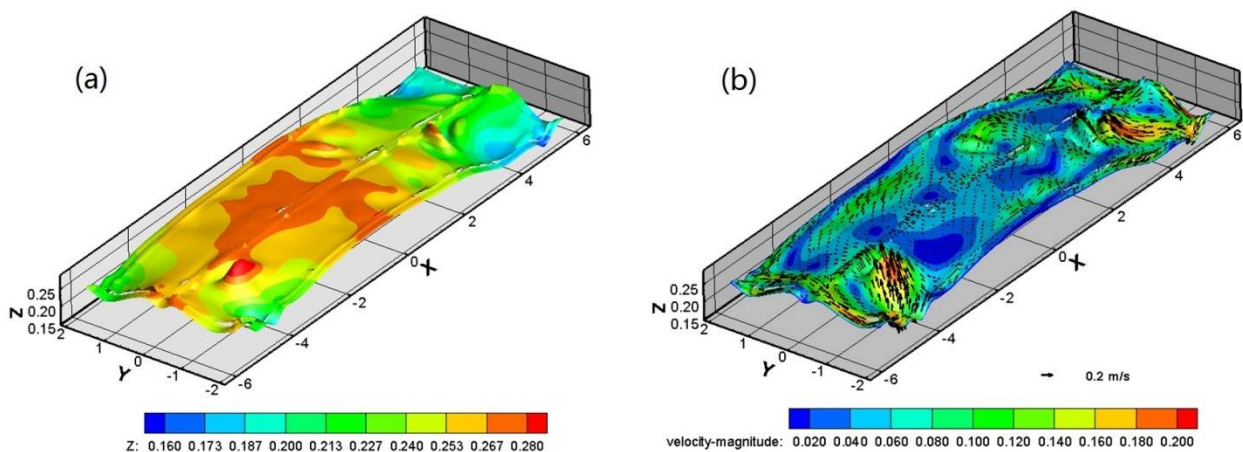
602 It is believed that the cross-channels, the small gap between the adjacent anodes along the cell
 603 transverse direction, plays an important role on the release of gas bubbles generated at the anode
 604 bottom [18, 19]. However, its contribution to the hydraulic balance inside the reduction cell [20] is
 605 not highlighted in the previous studies. Figure 19 shows the predictions of an artificial cell model
 606 modified from the reference model by ignoring the cross-channels. When they are compared to the
 607 results of the reference model, it is found that the steady dome-shaped metal pad is no longer re-

608 produced. Instead, a wavy bath-metal interface is found as shown in Figure 19(a). The transient
609 variations of metal pad height distribution with time are shown in Figure 20. The bath-metal
610 interface becomes unstable when ignoring the cross-channels.

611 On the other hand, the effect of increased cross-channel width is studied in another simulation test by
612 doubling the cross-channel width of the reference model. The simulation results shown in Figure 21
613 indicate that quasi-steady metal pad and flow pattern are obtained, and are very similar to those of
614 the reference model.

615 A comparison of metal pad deformation (averaged from $t = 140$ s to $t = 200$ s) along the cell central
616 plane ($y = 0$ m) and the side plane ($y = -1.0$ m) for different cross-channel widths is shown in Figure
617 22. The overall distribution of metal pad deformation over the long axis of the reduction cell (along
618 the central channel) is less dependent on the cross-channel width. Even if the transient metal pad
619 becomes wavy and unstable when the cross-channel is neglected, the averaged metal pad profile still
620 follows the overall metal pad deformation pattern. In addition, a close study of Figure 21 indicates
621 that the larger cross-channel width can induce slightly smaller metal pad deformation. This indicates
622 that the cross-channels contribute effectively to the hydraulic balance inside the reduction cell and
623 stabilize the bath-metal interface. In the recent novel anode design, slots are introduced at the anode
624 bottom [18, 19]. These slots will not only make the release of gas bubble easier, but also enhance the
625 bath-metal interface stability, which may result in higher cell energy efficiency.

626 As we noted, the cross-channels were neglected in some previous numerical models to simplify the
627 cell geometry [3, 7]. It should be highlighted that this simplification may cause significant error in
628 the model predictions.



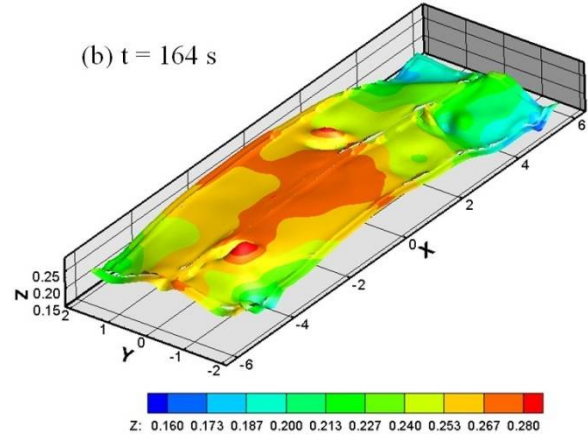
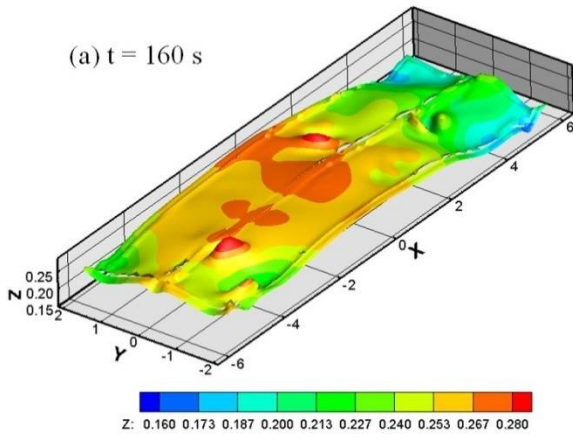
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630 **Figure 19:** Predicted (a) bath-metal interface height and (b) flow pattern on the interface for the
631 modified aluminium reduce cell model without cross-channels.

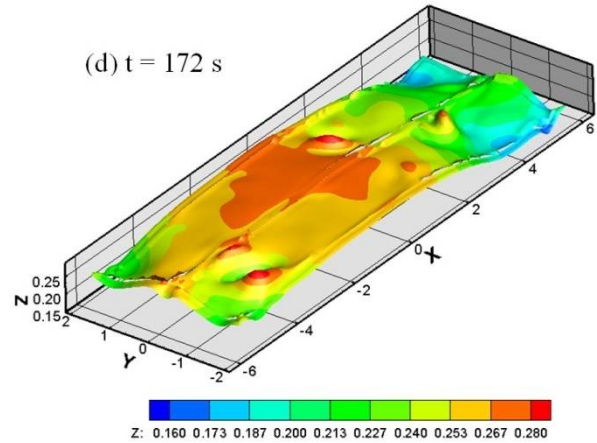
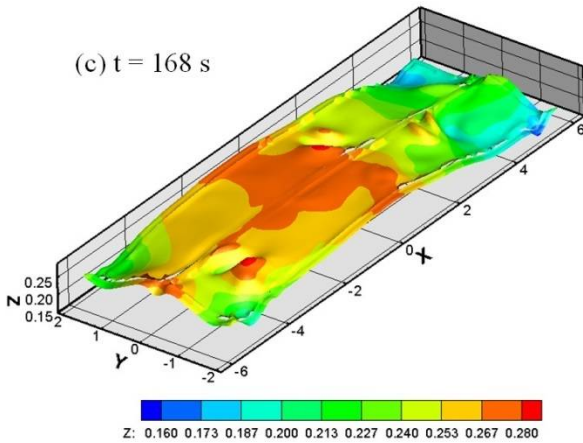
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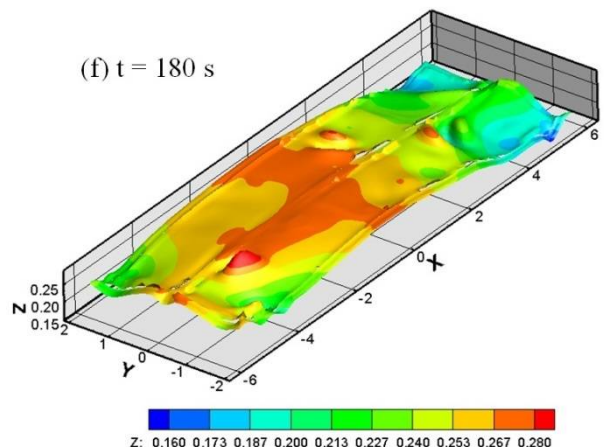
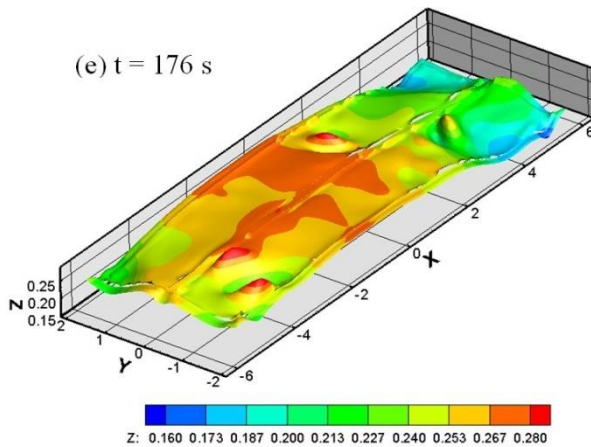
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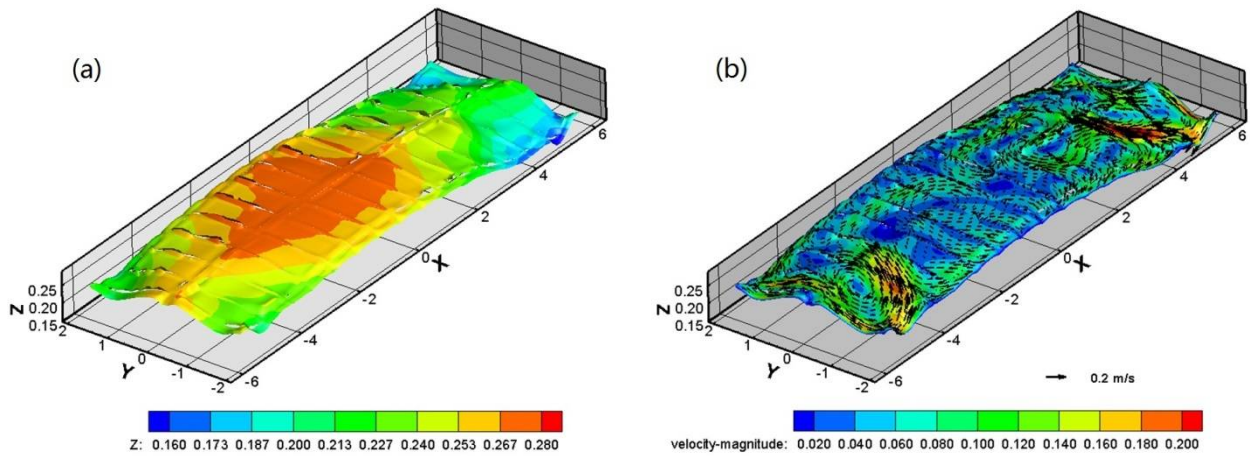
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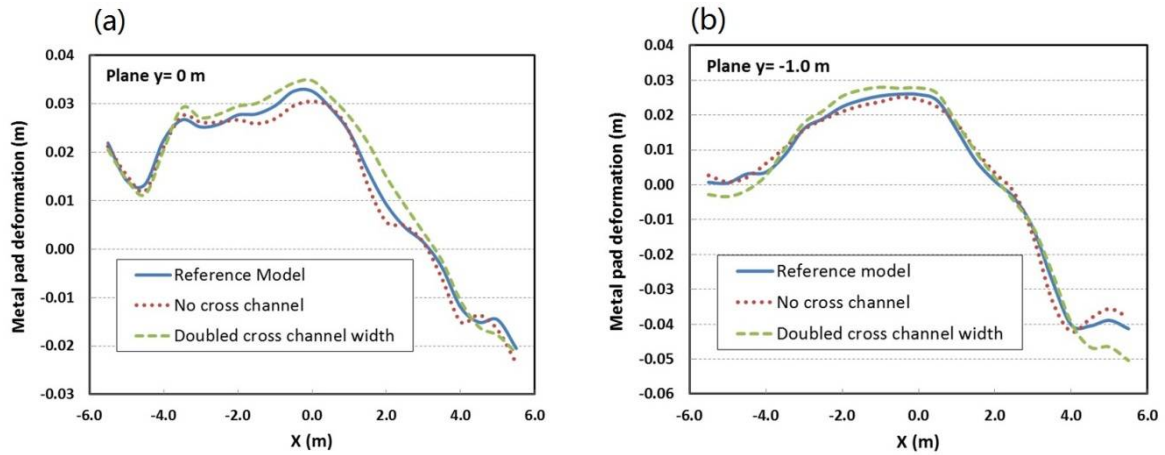
639 **Figure 20:** Predicted temporal variation of bath-metal interface height by the model ignoring the
640 cross-channels. Local waves on the interface are predicted.



641

642 **Figure 21:** Predicted (a) bath-metal interface height and (b) flow pattern on the interface for the
 643 modified aluminium reduce cell model with doubled cross-channel width.

644



645

646 **Figure 22:** Comparison of the metal pad deformation along (a) the central channel $y = 0$ m and (b)
 647 the cell long side section $y = -1.0$ m under effect of cross-channel width.

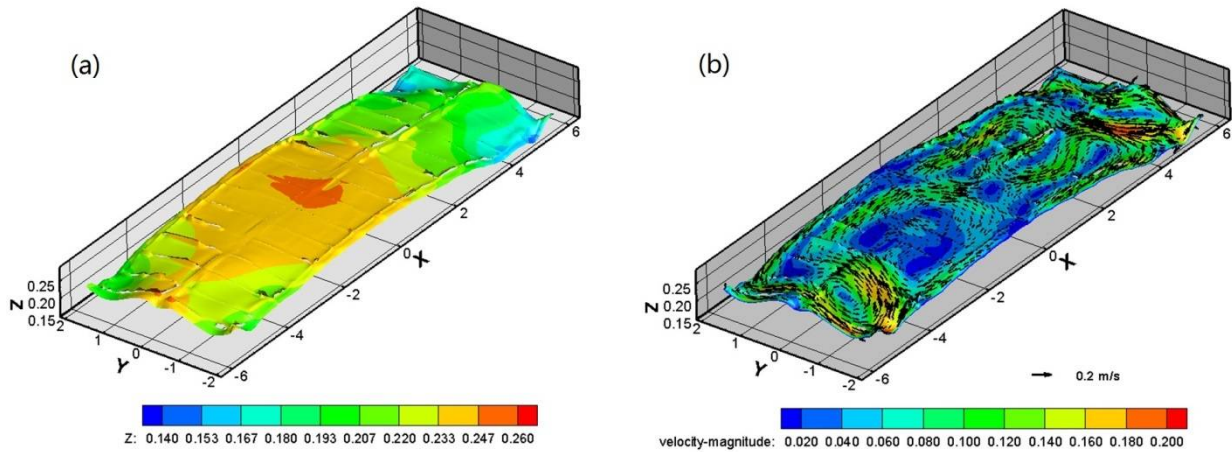
648

649 4.7 Effect of anode cathode distance (ACD)

650 It is clear that the energy consumption in aluminium electrolysis process can be reduced by
 651 minimizing the ACD. Hence, it is also important to understand the effect of the ACD on the melt
 652 flow and the metal pad deformation. Modified from the basic settings of the reference model (ACD =
 653 4 cm), the ACD in the two additional simulations is set to be 6 cm and 8 cm respectively. The new
 654 simulations show that the ACD have a minor effect on the simulation results when the anode cathode
 655 distance is high (ACD = 6 cm or ACD = 8 cm). Figure 23 shows the predicted metal pad deformation
 656 and the liquid flow pattern on the interface when ACD is 6 cm. Compared with the results of the
 657 reference model (ACD = 4 cm), the smaller ACD leads to higher metal pad heaving amplitude.

658 Detailed comparison of metal pad deformation (averaged from $t = 140$ s to $t = 200$ s) along the cell
 659 central plane ($y = 0$ m) and the side plane ($y = -1.0$ m) for the mentioned ACDs is shown in Figure
 660 24. It shows that smaller ACD can lead to larger metal pad deformation and more fluctuations. This
 661 means that it will be more challenging to maintain the bath-metal interface stability and the high cell
 662 energy efficiency when the ACD is minimized.

663

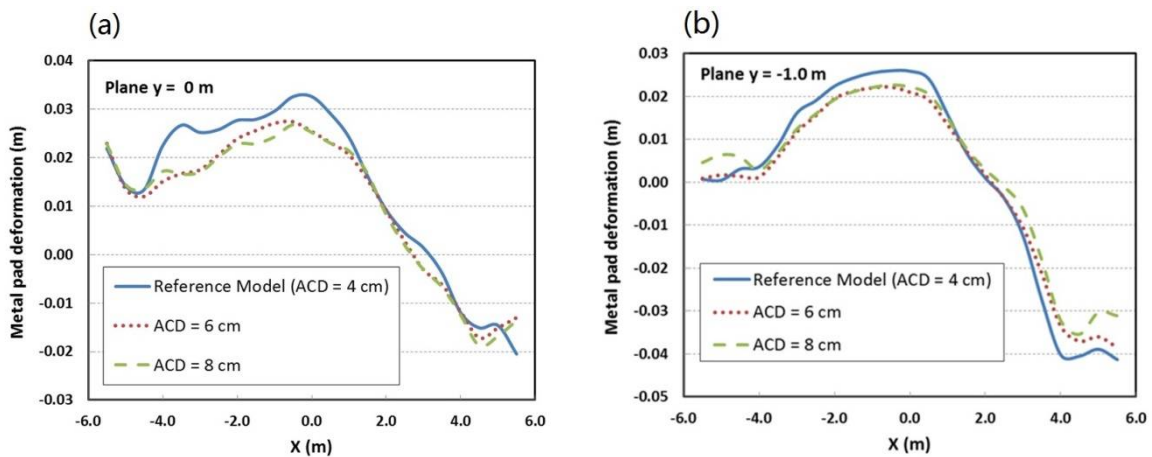


664

665 **Figure 23:** Predicted (a) bath-metal interface height and (b) flow pattern on the interface for the
 666 modified aluminium reduce cell model with larger ACD = 6 cm.

667

668



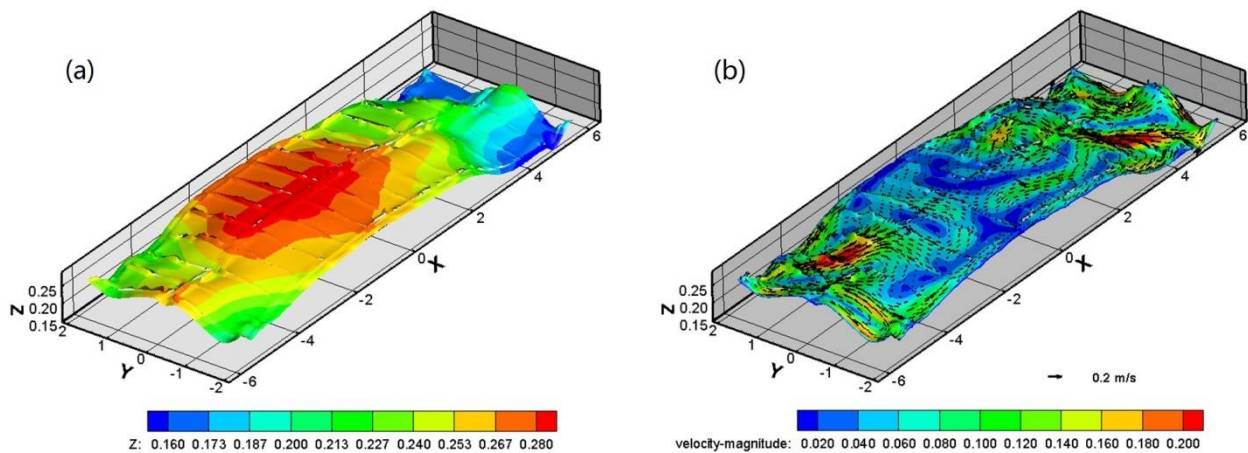
669

670 **Figure 24:** Comparison of the metal pad deformation along (a) the central channel $y = 0$ m and (b)
 671 the cell long side section $y = -1.0$ m under the effect of ACD.

672

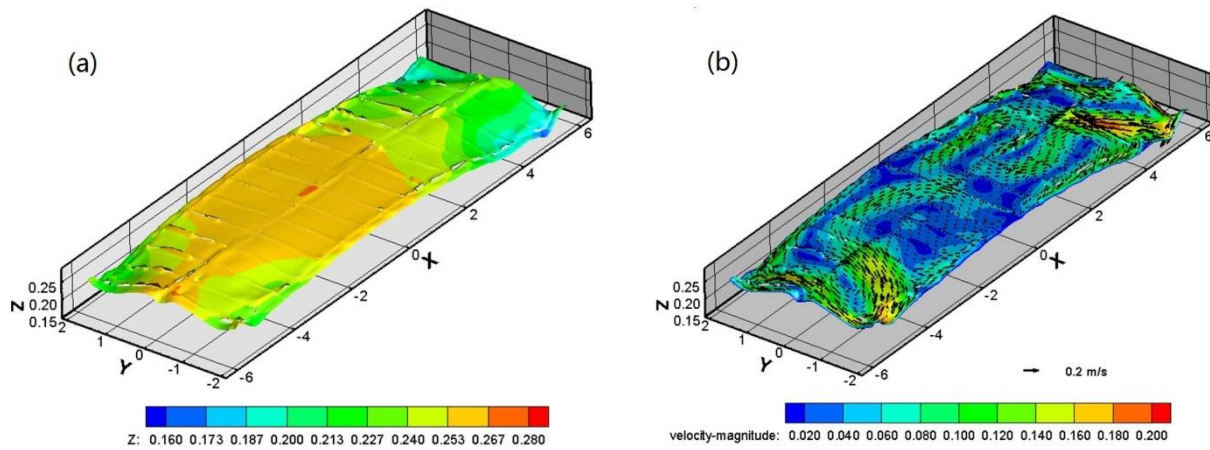
673 **4.8 Effect of current distribution on cathode**

674 Certainly, the electric current distribution inside the reduction cell contributes directly to the Lorentz
675 force field which significantly affects the melt flow field, the metal pad deformation and the bath-
676 metal interface stability. The electric current distribution in an aluminium reduction cell is closely
677 related to the cathode design. Recently, some novel cathode designs [12, 13, 14] are made with the
678 aim to improve the metal pad stability and to lower ACD in order to reduce energy consumption. In
679 the present model, the cathode model is not included directly in the simulation. For the reference
680 model, the normal current density at the cathode top surface is specified according to the results of an
681 in house electromagnetic model. In order to understand effects of cathode current distribution on the
682 melts flow and metal pad stability, two artificial current density profiles (Profiles B and C) shown in
683 Figure 5 are tested. The current density profile B has more non-uniform distribution in the transverse
684 direction, high current near side and low current density at center. On the contrary, the current
685 density profile C has more uniform distribution, which implies that more current flows vertically
686 with smaller horizontal components. Figure 25 shows the predicted bath-metal interface height
687 distribution and melts flow pattern on the interface when the current density profile B is set on the
688 cathode top, and Figure 26 shows the results when the current density profile C is set. Comparison of
689 the results, illustrated in Figures 25 and 26, shows that a higher non-uniformity in the current density,
690 at the cathode top, results in a larger metal pad deformation. Figure 27 shows the detailed
691 comparison of metal pad deformation (averaged from $t = 140$ s to $t = 200$ s) along the cell central
692 plane $y = 0$ m and the side plane $y = -1.0$ m for various applied current density profiles. A more
693 uniform current density distribution leads to a smaller metal pad deformation because the difference
694 of the electromagnetic forces in the bath and metal layers becomes smaller.



695

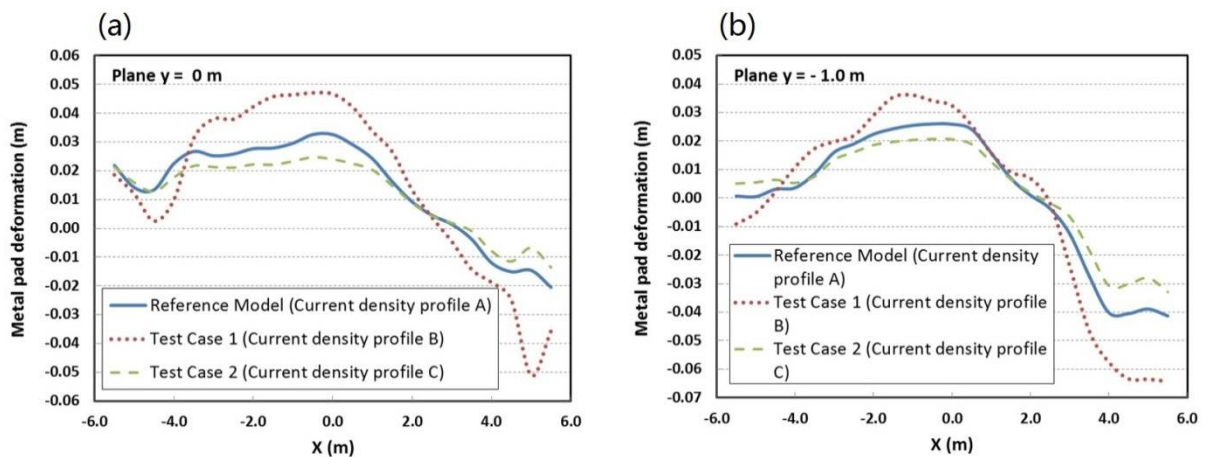
696 **Figure 25:** Predicted (a) bath-metal interface height and (b) flow pattern on the interface for the
697 modified aluminium reduce cell model with highly non-uniform distribution of current density
698 (profile B) on cathode surface.



700

701 **Figure 26:** Predicted (a) bath-metal interface height and (b) flow pattern on the interface for the
 702 modified aluminium reduce cell model with relatively uniform distribution of current density (profile
 703 C) on cathode surface.

704



705

706 **Figure 27:** Comparison of the metal pad deformation along (a) the central channel $y = 0$ m and (b)
 707 the cell long side section $y = -1.0$ m under the effect of current density distribution on the cathode
 708 surface.

709

710 4.9 Effect of open channel top

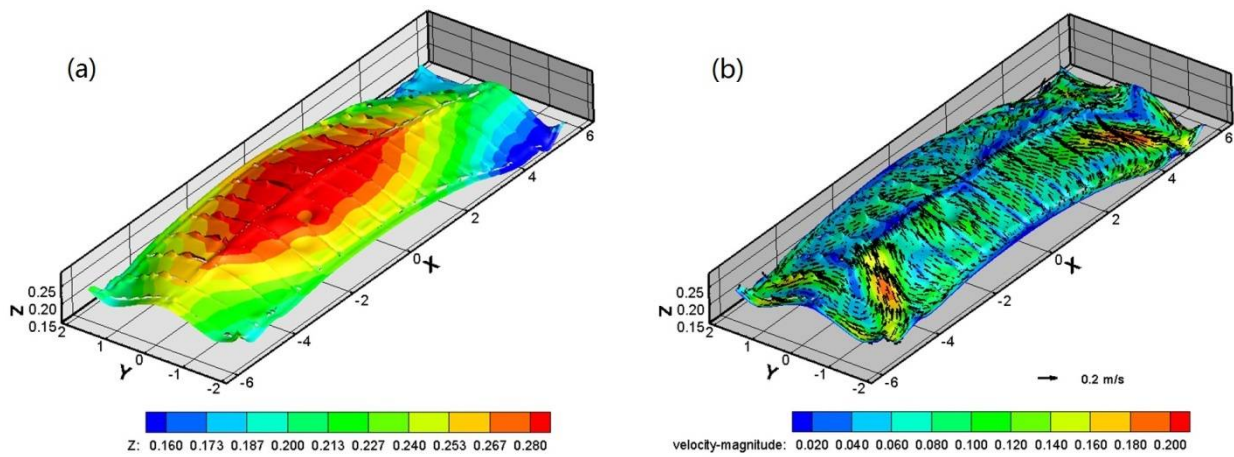
711

712 In cell operation, the top of bath layer is open to the ambient environment at the channels when the
 713 top frozen crust is broken at some spots for feeding alumina. To take this into consideration, the
 714 boundary condition at the channel top is modified as the pressure outlet condition so that it is open to
 715 the ambient with a constant pressure. With the updated boundary condition at channel top, the
 716 calculation for the reference model is continued for another 180 s. The results for metal pad and flow
 717 pattern from this simulation are shown in Figure 28. A quasi-steady dome shaped metal heaving is

718 predicted. Compared to the results of the reference model, the metal pad heaving is further enhanced
 719 at the cell enter, while the metal pad is lower at the sides. The circulating flow at the cell corners
 720 becomes weaker.

721 A comparison of the metal pad deformation along the central channel under the effect channel top is
 722 shown in Figure 29. As reported in [7, 15], the open channel top has a significant effect on metal pad
 723 deformation. The open channel causes larger metal pad deformation in the reduction cell.

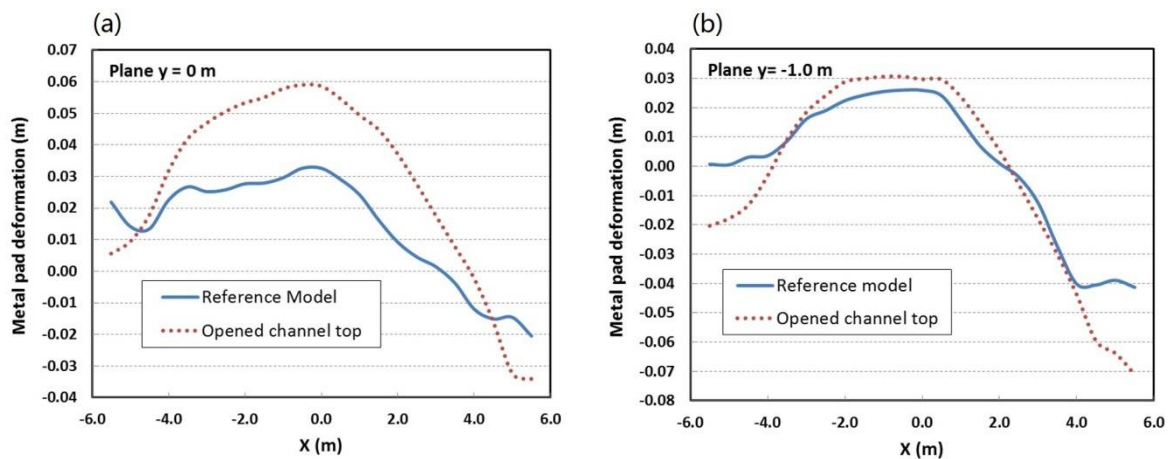
724



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726 **Figure 28:** Predicted (a) bath-metal interface height and (b) flow pattern on the interface for the
 727 modified aluminium reduce cell model with open channel top.

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729

730 **Figure 29:** Comparison of the metal pad deformation along (a) the central channel $y = 0$ m and (b)
 731 the cell long side section $y = -1.0$ m under the effect of channel top openness.

732

733 5 Conclusions

734 A computational fluid dynamics (CFD) based multiphase magnetohydrodynamic (MHD) flow model
735 for simulating the melt flow and metal pad heaving in realistic aluminium reduction cells is
736 presented. The model describes the complex multiphase MHD flow problem in the aluminium
737 electrolysis process by coupling the two-phase liquid flow, interface deformation, electric current
738 density field, magnetic field and electromagnetic force. In addition, the model includes the geometry
739 details of the reduction cells (e.g. ledge profile and all channels around anodes) and realistic cell
740 operation conditions (e.g. anode consumption and constant ACD, current density profile on cathode
741 and open channel top to ambient).

742 In order to investigate the model sensitivity and evaluate the model performance, a number of
743 simulation tests with various settings (physics, cell geometry and operation conditions) were
744 conducted. The simulation results are compared with those of the reference model. The assumption
745 of a flat bath-metal interface and the simplification of the reduction cell as a rectangular box, which
746 are common in the previous models, can introduce significant errors in estimating the melt flow
747 pattern and metal pad deformation. The induced electric current density by the flowing conductive
748 liquid in the magnetic field shows an effect on metal pad deformation at the longitudinal ends of the
749 reduction cell, while the induced magnetic field due to the electric current density inside the cell
750 shows a minor effect. Smaller ACD may lead to larger metal pad deformation and more fluctuations
751 on the bath-metal interface. The cross-channels (the transverse gap between anodes or anode slots)
752 play an important role in stabilizing the bath-metal interface. The ledge profile, the current density
753 distribution on cathode top and open channel top also affect the metal pad deformation significantly.
754 These factors may be tuned in the cell design to optimize the cell operation conditions for high
755 energy efficiency. The current model may serve as an efficient numerical tool for industrial
756 optimization of the aluminium reduction cell design and operation.

757 The wide range of simulations including the most relevant parameters shows that the current
758 modelling approach is generic and reliable in predicting the metal pad heaving and melts flows in
759 aluminium reduction cells. However, some aspects of modelling aluminium reduction cells are still
760 not included in the current model, e.g. bubble flow under anode, thermal effects, anode burn-off
761 mechanism, dynamic ledge profile and MHD waves on bath-metal interface. These are the
762 interesting research topics for further development and extension of the model capability.

763

764 **Acknowledgment**

765 The present work was supported by several projects financed by the Research Council of Norway,
766 Institute for Energy Technology and Hydro Primary Metal Technology.

767

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800 **Figures and Tables**

801 **Table 1:** Material Properties used for metal pad model.

802

803 **Figure 1:** Vertical cut of a Hall-Héroult cell.

804 **Figure 2:** (a) An overview of the model for a realistic reference alumina reduction cell,

805 (b) a zoom view of the CFD model about ledge profile and deformed anode bottom.

806 **Figure 3:** Modelling strategy to maintain constant anode cathode distance (ACD) by relocating the

807 anode bottom mesh grids according to the deformed bath-metal interface.

808 **Figure 4:** (a) Ledge profiles for the long side of the reference model and for a test model to

809 investigate its significance; (b) Ledge profile for the short side of the reference model.

810 **Figure 5:** Electric current density profiles on the cathode surface.

811 **Figure 6:** Predicted temporal variation of bath-metal interface height for the reference aluminium
812 reduction cell.

813 **Figure 7:** Predicted temporal variation of flow pattern on the bath- metal interface for the reference
814 aluminium reduction cell.

815 **Figure 8:** Distribution of Lorentz force on the horizontal plane $Z= 0.2\text{m}$ at the quasi-steady state.

816 **Figure 9:** (a) Predicted flow pattern on the bath- metal interface and (b) estimated metal pad heaving
817 for an aluminium reduction cell model with the assumption of flat bath-metal interface.

818 **Figure 10:** Distributions of (a) total current magnitude and (b) flow induced electric current

819 magnitude at the horizontal plan of $z = 0.1\text{m}$ at the quasi-steady state.

820 **Figure 11:** Predicted (a) bath-metal interface height and (b) flow pattern on the interface for the
821 modified aluminium reduce cell model with flow induced electric current.

822 **Figure 12:** Comparison of the metal pad deformation along (a) the central channel $y = 0\text{ m}$ and (b)
823 the cell long side section $y = -1.0\text{ m}$ under the effect of the induced current due to
824 conductive melts flows in magnetic field.

825 **Figure 13:** Distributions of (a) background magnetic flux density and (b) cell inside electric current
826 induced magnetic flux density at the horizontal plan of $z = 0.1\text{m}$ at the quasi-steady state.

827 **Figure 14:** Predicted (a) bath-metal interface height and (b) flow pattern on the interface for the
828 modified aluminium reduce cell model with induced magnetic field.

829 **Figure 15:** Comparison of the metal pad deformation along (a) the central channel $y = 0\text{ m}$ and (b)
830 the cell long side section $y = -1.0\text{ m}$ under the effect of cell inside electric current induced
831 magnetic field.

832 **Figure 16:** Predicted (a) bath-metal interface height and (b) flow pattern on the interface for the box
833 cell model, where the ledge profile is assumed to be vertical.

834 **Figure 17:** Predicted (a) bath-metal interface height and (b) flow pattern on the interface for the
835 modified aluminium reduce cell model with extended side ledge profile.

836 **Figure 18:** Comparison of the metal pad deformation along (a) the central channel $y = 0$ m and (b)
837 the cell long side section $y = -1.0$ m under different side ledge profiles.

838 **Figure 19:** Predicted (a) bath-metal interface height and (b) flow pattern on the interface for the
839 modified aluminium reduce cell model without cross-channels.

840 **Figure 20:** Predicted temporal variation of bath-metal interface height by the model ignoring the
841 cross-channels. Local waves on the interface are predicted.

842 **Figure 21:** Predicted (a) bath-metal interface height and (b) flow pattern on the interface for the
843 modified aluminium reduce cell model with doubled cross-channel width.

844 **Figure 22:** Comparison of the metal pad deformation along (a) the central channel $y = 0$ m and (b)
845 the cell long side section $y = -1.0$ m under effect of cross-channel width.

846 **Figure 23:** Predicted (a) bath-metal interface height and (b) flow pattern on the interface for the
847 modified aluminium reduce cell model with larger ACD = 6 cm.

848 **Figure 24:** Comparison of the metal pad deformation along (a) the central channel $y = 0$ m and (b)
849 the cell long side section $y = -1.0$ m under the effect of ACD.

850 **Figure 25:** Predicted (a) bath-metal interface height and (b) flow pattern on the interface for the
851 modified aluminium reduce cell model with highly non-uniform distribution of current
852 density (profile B) on cathode surface.

853 **Figure 26:** Predicted (a) bath-metal interface height and (b) flow pattern on the interface for the
854 modified aluminium reduce cell model with relatively uniform distribution of current
855 density (profile C) on cathode surface.

856 **Figure 27:** Comparison of the metal pad deformation along (a) the central channel $y = 0$ m and (b)
857 the cell long side section $y = -1.0$ m under the effect of current density distribution on the
858 cathode surface.

859 **Figure 28:** Predicted (a) bath-metal interface height and (b) flow pattern on the interface for the
860 modified aluminium reduce cell model with open channel top.

861 **Figure 29:** Comparison of the metal pad deformation along (a) the central channel $y = 0$ m and (b)
862 the cell long side section $y = -1.0$ m under the effect of channel top openness.

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Table 1: Material Properties used for metal pad model.

Material property	Unit	Electrolyte	Liquid Aluminium
Density	kg/m ³	2070	2270
Viscosity	mPa s	1.25	2.5
El. conductivity	S m ⁻¹	250	3.0E6

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